**FELRA AND UFCW VEBA FUND**

**UFCW AND FELRA LEGAL BENEFITS FUND**

**UFCW AND FELRA SCHOLARSHIP FUND**

**BOARD OF TRUSTEE MEETINGS**

**SEPTEMBER 27, 2021**

# FELRA & UFCW VEBA FUND

# AGENDA

**SEPTEMBER 27, 2021**

**(Approximately 11:00 a.m.)**

**I. CALL TO ORDER**

**II. MINUTES (pg. 2-16)**
- **A. REGULAR MEETING – JUNE 22, 2021**
- **B. APPEALS COMMITTEE MEETING – JULY 6, 2021**
- **C. APPEALS COMMITTEE MEETING – AUGUST 13, 2021**
- **D. APPEALS COMMITTEE MEETING – SEPTEMBER 2, 2021**

**III. FINANCIAL REPORT (pg. 17)**
- **A. PNC REPORT**
- **B. INVESTMENT PERFORMANCE SERVICES**
- **C. AUDITOR'S REPORT**

**IV. CONSULTANTS' REPORTS**
- **A. SEGAL COMPANY**
  - **1. BEACON HEALTH RENEWAL**
- **B. CONFIDIO**
  - **1. MANAGED PHARMACY REPORT**

**V. ATTORNEYS' REPORT (pg. 20-32)**
- **A. REGULAR**
- **B. DELINQUENCY REPORT**
- **C. SUBROGATION**

**VI. ADMINISTRATIVE MANAGER'S REPORT - (2Q21) (pg. 33-98)**

**VII. INVOICES (pg. 99)**

**VIII. OTHER FUND BUSINESS**
- **A. SAFEWAY PAYROLL AUDIT – STATUS UPDATE**
- **B. COBRA RATE - STATUS UPDATE**
- **C. 2022 KAISER PERMANENTE MEDICARE ADVANTAGE RENEWAL**
- **D. KAISER PERMANENTE ACTIVE RATES EFFECTIVE 10/1/2021**
- **E. COVID-19 RELATED CLAIMS PROCESSING – STATUS UPDATE**
- **F. COBRA PREMIUM SUBSIDY – STATUS UPDATE**
- **G. DENTEGRA TRANSITION/CREDIT – STATUS UPDATE**
- **H. MISCELLANEOUS CORRESPONDANCE**

**IX. NEXT MEETING DATE AND LOCATION (DECEMBER 15, 2021)**

**X. ADJOURNMENT**

# MINUTES

# FELRA & UFCW Health & Welfare Plan

***A Plan of the Food Employers Labor Relations Association and United Food and Commercial Workers VEBA Fund***


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**MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES OF THE FELRA AND UFCW VEBA FUND VIA VIDEO CONFERENCE JUNE 22, 2021**

The following Trustees were present:

| **Union Trustees** | **Employer Trustees** |
|---|---|
| M. Federici – Secretary | J. Paradis – Chairman |
| J. Chorpenning | D. Dosenbach |
| A. Hanson | M. Goble |

Also present were:

W. Antoshak – Associated Administrators, LLC
Y. Anwar – UFCW Local 400
L. DuVall – Associated Administrators, LLC
J. Hack – UFCW Local 27
J. Harrison – UFCW Local 27
T. Hipkins – UFCW Local 27
N. Jacobs – UFCW Local 400
D. Jensen – Associated Administrators, LLC
M. Kreps – Groom Law Group
C. McDonough – Investment Performance Services
R. McKnight – Associated Administrators, LLC
C. Murphy – Associated Administrators, LLC
S. Sanchez – Slevin & Hart, P.C.
R. Sichel – Investment Performance Services
A. Sims – Associated Administrators, LLC
B. Slevin – Slevin & Hart, P.C.
J. Timm – The Segal Company
L. Walsh – Associated Administrators, LLC
M. Wilson – UFCW Local 400
A. Yu – Investment Performance Services

## I. CALL TO ORDER

A quorum being present, the Chairman called the meeting to order.

### A. Proxy

Mr. Jensen reported that Trustee Nazario was unable to attend the meeting and had provided his proxy to Trustee Chorpenning to act in all matters coming before the Trustees.

## II. MINUTES

The Trustees reviewed the minutes of the last regular meeting held on March 11, 2021, and the Appeals Committee meetings of March 10, 2021, April 8, 2021, May 5, 2021 and June 7, 2021, all of which were pre-circulated.

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

> **RESOLVED that the minutes of the regular meeting held on March 11, 2021, and the Appeals Committee Meetings of March 10, 2021, April 8, 2021, May 5, 2021, and June 7, 2021 are approved, as presented.**

## III. FINANCIAL REPORT

### A. PNC Bank

#### 1. Summary of Activity Report – ERISA Account – May 31, 2021

Mr. Jensen presented the PNC Summary of Activity report for the period January 1, 2021 through May 31, 2021. Such report indicated a beginning market value of $32,607,051, earnings of $465,986, receipts of $39,380,746, and disbursements of $54,769,048, producing an ending market value of $17,684,735 as of May 31, 2021.

#### 2. Summary of Activity Report – Non-ERISA Account DDA – May 31, 2021

Mr. Jensen presented the PNC Summary of Activity report for the Non-ERISA account for the period January 1, 2021 through May 31, 2021. Such report indicated a beginning market value of $7,130,603, no earnings, receipts of $34,424,990, and disbursements of $40,714,013, producing an ending market value of $841,580 as of May 31, 2021.

#### 3. Reserves

Mr. Jensen noted that as of May 31, 2021, the FELRA VEBA Fund had $23,922,551 in reserves, representing 2.25 months of reserves, compared to 2.31 months as of April 30, 2021. The average expense for the four quarters ending March 31, 2021 was $10,649,238.50.

## B. Investment Performance Services

The Trustees welcomed Mr. Rich Sichel, Mr. Chris McDonough, and Ms. Angela Yu of Investment Performance Services ("IPS") to the meeting.

### 1. Master Consulting Report – March 31, 2021

Mr. Sichel reviewed the Master Consulting Report for the period ending March 31, 2021. Such report indicated a beginning market value of $32,606,966, a net cash flow of negative $13,698,952, and a net investment change of $237,416, resulting in an ending market value of $19,145,429. The year-to-date performance through March 31, 2021 was 0.4%, gross of fees.

### 2. Preliminary Performance Update – April 30, 2021

Mr. Sichel reviewed the Preliminary Performance Report for the period ending April 30, 2021. Such report indicated a beginning market value of $19,145,429, negative net cash flow of $488,482, and a net investment change of $195,142, resulting in an ending market value of $18,852,099. The year-to-date performance was 1.4%, gross of fees.

The Trustees thanked the representatives of Investment Performance Services for their report and they were excused from the meeting.

# IV. CONSULTANT'S REPORT

The Trustees welcomed Mr. Josh Timm of The Segal Company ("Segal") to the meeting.

## A. The Segal Company

### 1. Symetra Life and AD & D Renewal

Mr. Timm reviewed the Symetra Life Insurance and AD & D Renewal. He noted that Symetra was proposing no increase in rates for the period July 1, 2021 through June 30, 2023. Mr. Timm noted that this results in $7,600 in annual savings, or a total savings of $15,800 for the two year renewal period, as compared to Symetra's initial renewal proposal. He noted that the proposal appears to be reasonable and competitive. After discussion and, On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

**RESOLVED to approve the Symetra Life Insurance and AD & D renewal with no increase in rates for a two year period beginning July 1, 2021 through June 30, 2023.**

**2. Superior Vision - Renewal**

Mr. Timm reviewed a renewal proposal from Superior Vision. He noted that Superior Vision had proposed no increase in rates for the renewal period of August 1, 2021 through July 31, 2023.

After discussion and,

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

**RESOLVED to delegate authority to Chairman and Secretary to make a decision regarding the Superior Vision renewal.**

## V. ATTORNEY'S REPORT

### A. BlueCross BlueShield Class Action

Mr. Slevin reported on a class action involving the Blue Cross Blue Shield Association. After discussion, and

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

**RESOLVED to delegate authority to Chairman and Secretary to make any decisions relating to the Blue Cross Blue Shield class action.**

### B. Consolidated Appropriations Act

Ms. Sanchez reported on the Consolidated Appropriations Act ("CAA"), including the No Surprises Act, and the Transparency in Coverage Final Rule.

**C. <u>COBRA Subsidy</u>**

Ms. Sanchez reported on the temporary COBRA premium subsidy under the American Rescue Plan Act of 2021.

**D. <u>Purdue Pharma Bankruptcy</u>**

Ms. Sanchez reported on the Purdue Pharma bankruptcy. After discussion, and

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

> **RESOLVED to delegate authority to Chairman and Secretary to make any decisions regarding the Purdue Pharm bankruptcy.**

**E. <u>Namenda Class Action</u>**

Ms. Sanchez reported on the Namenda class action.

**F. <u>Summary Plan Descriptions ("SPD")</u>**

Ms. Sanchez reported on the status of the Fund's SPD restatements.

**G. <u>Dentegra</u>**

Ms. Sanchez reported on the status of the Dentegra Agreement and evidence of coverage documents.

**H. <u>Fiduciary Liability Policy</u>**

Ms. Sanchez reported on the Fund's fiduciary liability policy.

**I. <u>Fidelity Bond</u>**

Ms. Sanchez reported on the Fund's fidelity bond.

**J. <u>Administrative Services Agreement</u>**

Ms. Sanchez reported on the Fund's agreement with Associated Administrators, LLC.

**K. Calibre Engagement Letter**

Ms. Sanchez reported on Calibre's proposed engagement letter and side letter .

**L. Cheiron Agreement**

Ms. Sanchez reported on the Fund's agreement with Cheiron.

**M. Safeway 2014-2017 Payroll Audit Demand Letter**

Ms. Sanchez reported on Safeway's payroll audit delinquency.

**N. Subrogation Report**

Mr. Jensen stated that Slevin & Hart had reported subrogation recoveries of $43,900.01, with $8,780.00 payable to Slevin & Hart for the first quarter 2021.

## VI. ADMINISTRATIVE MANAGER'S REPORT

**A. First Quarter 2020 – Combined Fund Recap**

Mr. Jensen presented the Administrative Manager's Report for the First Quarter 2021. For the combined VEBA Fund, Active and Retiree Plans, total income was $26,219,455. Expenses totaled $34,083,289, producing a net deficit of $7,863,834 for the First Quarter 2021. Mr. Jensen noted that contributions were made on behalf of 15,858 active Plan participants.

Mr. Jensen presented a snapshot of work performed by Associated Administrators during the First Quarter 2021. The report reflected the processing of 374 accident and sickness claims, 132 appeals, 32,616 participant service calls, the production of 412 COBRA notices, the processing of 85,421 medical claims, and mailings to 68,097 Plan participants.

## VII. INVOICES

The Trustees reviewed the lists of invoices for the Active Plan and Retiree Plan dated June 22, 2021. It was noted there was one addition to the list.

Mr. Jensen presented an invoice in the amount of $13,620.00 representing work performed by Associated Administrators for implementation of the Dentegra Dental program that went into effect June 1, 2021. Mr. Jensen noted that this invoice would be deducted from the implementation credit provided to the Fund by Dentegra.

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

> **RESOLVED that the invoices on the lists dated June 22, 2021 for the Active Plan and Retiree Plan are approved for payment.**
>
> **FURTHER RESOLVED, to approve payment of Associated's invoice for work performed for the implementation of the Dentegra Dental program.**

## VIII. OTHER FUND BUSINESS

### A. Memoranda of Agreements ("MOA")

Mr. Jensen reported receipt of the MOAs reached between Giant, Safeway and the UFCW Locals 400 and 27 for the period October 27, 2019 through October 28, 2023.

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

> **RESOLVED to adopt the Memoranda of Agreement, as supplemented and modified.**

### B. Calibre's Plan Year 2020 Engagement Request

Mr. Jensen reviewed Calibre's 2020 engagement proposal. He reported that Calibre was requesting a fee of $82,400, which represents a $2,050 increase over the prior year's fee. He noted that hourly rates for additional services not covered by the agreement would range between $90.00 to $345.00 per hour. After discussion, On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

**RESOLVED to delegate authority to Chairman and Secretary to make decisions regarding Calibre's 2020 engagement proposal.**

**C. <u>Giant Food– Contribution Credit</u>**

Mr. Jensen noted that Giant Food requested a contribution credit in the amount of $246,960.00 for contributions incorrectly remitted for dependent coverage on behalf of eleven Plan participants. After discussion and,

On Motion made and seconded, the Trustees voted approval of the following resolution:

**RESOLVED to approve the contribution credit request submitted by Giant Food in the amount of $246,960.00.**

Trustees Paradis and Goble abstained from the vote.

**D. <u>Maintenance of Benefit ("MOB")</u>**

Mr. Jensen noted that the Maintenance of Benefit rates had been approved by the subcommittee between meetings and letters were sent to the Participating Employers on June 18, 2021.

**E. <u>COBRA Rates – September 1, 2021</u>**

Mr. Jensen discussed the COBRA rates effective September 1, 2021. After discussion,

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

**RESOLVED to delegate authority to Chairman and Secretary to approve the COBRA rates.**

**F. COBRA Premium Subsidy – Status Update**

Mr. Jensen noted that COBRA Premium subsidy notices had been sent to 1,635 Plan participants on May 14, 2021.

**G. Dentegra Transition – Status Update**

Mr. Jensen reported that the Dentegra implementation was complete.

**H. COVID-19 Related Claims Processing - Status Update**

Mr. Jensen provided a summary of COVID-19 medical claims experience for the period January 1, 2021 through April 30, 2021. He noted that 4,293 tests were paid at a cost of $544,089.61. Treatment was provided to 942 plan participants at a cost of $679,476.16. He noted that there were three COVID claims paid in excess of $50,000. 463 COVID vaccines were paid in the amount of $20,600.31 and 2,513 telehealth claims were paid in the amount of $108,630.23.

**I. Safeway Payroll Audit – 2014-2017 – Status Update**

Mr. Jensen reported that Safeway had not remitted payment in the amount of $38,141.60 for the payroll audit conducted by Calibre. Mr. Dosenbach indicated that payment is forthcoming.

**J. FELRA and UFCW VEBA Fund - Fiduciary Insurance Renewal**

Mr. Jensen noted that the Fund's fiduciary insurance had been renewed through Chubb for the period May 29, 2021 through May 29, 2022 and the Individual Waiver of Recourse invoices had been sent to the Trustees on June 2, 2021.

**K. FELRA and UFCW Retiree Health and Welfare Fund - Fidelity Bond**

Mr. Jensen noted that the FELRA and UFCW Retiree Health Plan's fidelity bond had been renewed through Chubb for the period June 1, 2021 through June 1, 2024.

**L. Beacon Health Annual Report**

Mr. Jensen noted that Beacon Health had reported an error in their annual report regarding their Telehealth Services and that and a revised report will be sent to the Fund.

**M. ESI Rebate Checks**

Mr. Jensen reported that the Fund had received a rebate check from ESI for the period January 1, 2020 through December 31, 2020 in the amount of $1,289,451.47. He further noted that the Fund had received EGWP rebates on March 15, 2021 in the amount of $87,856.77, on April 15, 2021 in the amount of $86,086.77, and on May 13, 2021 in the amount of $85,607.18.

**N. Segal, Bryant & Hamill**

Mr. Jensen reported that the Fund had received notification that the Segal, Bryant and Hamill combination with CI Financial is now complete.

**O. Patient Centered Outreach Research Institute ("PCORI")**

Mr. Jensen stated that the PCORI filing is due July 31, 2021 and the amount due is $51,580.06.

## IX. ADOPTION OF COMMITTEE RECOMMENDATIONS

After review of the recommendations made by the Severance, Legal and Scholarship Committees during their meetings,

On Motion made and seconded, the Trustees voted unanimous approval of the following resolution:

> **RESOLVED, that the recommendations made by the Severance, Legal and Scholarship Committees are adopted.**

## X. NEXT MEETING DATE AND LOCATION

After discussion, the Trustees noted that the next meeting was scheduled for September 23, 2021. However, due to a conflict in Trustee schedules, the meeting is rescheduled for September 27, 2021 and will be held virtually.

## XI. ADJOURNMENT

There being no further business to come before the Trustees, the meeting was adjourned.

Respectfully submitted,

Mark Federici, Secretary

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**APPEALS EMAILED TO THE APPEALS SUBCOMMITTEE OF THE FELRA & UFCW VEBA FUND ON JULY 6, 2021 AND RESOLVED VIA EMAIL**

**The following Committee members made determinations on the appeals:**

**UNION TRUSTEES**
Y. Anwar
T. Hipkins

**EMPLOYER TRUSTEE**
M. Goble

**Also present was:**
C. Brown – Associated Administrators, LLC

**APPEALS**
The following appeals were reviewed by the Trustees:

**Local 27 – June 2021**

| Code | Issue | Decision |
|---|---|---|
| E21/164-6443 | Disenroll Dependent Spouse | Approved |
| M21/233-5399 | Routine Services (Cologuard) | Denied |
| M21/258-3556 | CRNA | Denied |
| M21/249-0409 | CRNA | Denied |
| M21/243-3164 | Outpatient Dental Services | Denied |
| M21/242-6130 | Excluded Services | Denied |

**Local 400 – June 2021**

| Code | Issue | Decision |
|---|---|---|
| E21/159-1562 | Dependent Spouse Eligibility Audit *(Late but **complete**)* | Approved |
| E21/162-9660 | Open Enrollment (Dependent Coverage) | Tabled |
| M21/226-0208 | No Response to Requests for Additional Information | Denied |
| M21/232-9724 | Routine Services (Cologuard) | Denied |
| M21/235-5760 | Routine Services (Cologuard) | Denied |
| M21/234-4365 | Routine Services (Cologuard) | Denied |
| M21/250-1917 | Nonparticipating Provider | Approved |
| M21/257-0962 | Work-Related | Denied |

Respectfully submitted,

Chris Brown, Appeals Supervisor

**<u>APPEALS EMAILED TO THE APPEALS SUBCOMMITTEE</u>**
**<u>OF THE FELRA & UFCW VEBA FUND ON AUGUST 13, 2021</u>**
**<u>AND RESOLVED VIA EMAIL</u>**

**The following Committee members made determinations on the appeals:**

**<u>UNION TRUSTEES</u>**
Y. Anwar
T. Hipkins

**<u>EMPLOYER TRUSTEE</u>**
M. Goble

**Also present was:**
C. Brown – Associated Administrators, LLC

**<u>APPEALS</u>**
The following appeals were reviewed by the Trustees:

**<u>Local 27 – July 2021</u>**

| Code | Issue | Decision |
|---|---|---|
| E21/167-8678 | Initial Enrollment | Denied |

**<u>Local 400 – July 2021</u>**

| Code | Issue | Decision |
|---|---|---|
| E21/166-8205 | Dependent Spouse Eligibility Audit *(Late but **complete**)* | Approved |
| E21/165-8871 | Dependent Spouse Eligibility Audit *(Late and **incomplete**)* | Denied |
| E21/168-4452 | Part Time Coordination of Benefits | Approved |
| M21/268-7696 | Nonparticipating Provider | Denied |
| M21/265-0127 | Excluded Services | Denied |
| M21/269-7009 | Excluded Services | Denied |
| M21/278-5995 | Work-Related | Denied |

Respectfully submitted,

Chris Brown, Appeals Supervisor

**APPEALS EMAILED TO THE APPEALS SUBCOMMITTEE**
**OF THE FELRA & UFCW VEBA FUND ON SEPTEMBER 2, 2021**
**AND RESOLVED VIA EMAIL**

**The following Committee members made determinations on the appeals:**

**UNION TRUSTEES**
Y. Anwar
T. Hipkins

**EMPLOYER TRUSTEE**
M. Goble

**Also present was:**
C. Brown – Associated Administrators, LLC

**APPEALS**
The following appeals were reviewed by the Trustees:

**Local 27 – August 2021**

| Code | Issue | Decision |
|---|---|---|
| E21/174-7052 | Initial Enrollment | Denied |
| M21/315-9900 | CRNA | Denied |
| M21/317-0312 | CRNA | Denied |
| M21/304-9144 | Experimental/Investigational (Avastin) | #1 Approved<br>#2 Approved |
| M21/280-7845 | Experimental/Investigational (Avastin) | #1 Approved<br>#2 Denied |

**Local 400 – August 2021**

| Code | Issue | Decision |
|---|---|---|
| E21/171-2948 | Dependent Spouse Eligibility Audit *(Late but **complete**)* | Approved |
| E21/175-1320 | Dependent Spouse Eligibility Audit *(Late but **complete**)* | Approved |
| E21/176-0045 | Retiree Network of Coverage (Kaiser Medicare HMO) | Denied |
| Rx21/169-7411 | Brand Name (Synthroid) | Approved |
| M21/286-5995 | Laboratory Services | Denied |
| M21/281-3647 | Laboratory Services | Denied |
| M21/310-8040 | Laboratory Services | Denied |
| M21/302-4655 | Routine Services, Excluded Services | Denied |
| M21/303-3002 | Nonparticipating Provider | Approved |
| M21/301-4549 | Excluded Services | Denied |
| M21/313-4712 | Ambulance Services | Denied |

Respectfully submitted,

Chris Brown, Appeals Supervisor

# FINANCIAL REPORT

FELRA & UFCW VEBA WELFARE FUND
SUMMARY OF ACTIVITY
JANUARY 1, 2021 to AUGUST 31, 2021

| **Item** | **Amount** |
|---|---|
| Market Value 01/01/2021 | $32,607,051 |
| Earnings | $800,223 |
| Receipts | $72,828,732 |
| Disbursements | ($87,981,014) |
| Market Value 8/31/2021 | $18,254,992 |

PNC BANK, NA

FELRA & UFCW VEBA WELFARE FUND - NON ERISA DDA
SUMMARY OF ACTIVITY
JANUARY 1, 2021 to AUGUST 31, 2021

| **Item** | **Amount** |
|---|---|
| Market Value 01/01/2021 | $7,130,603 |
| Earnings | - |
| Receipts | $75,390,290 |
| Disbursements | ($75,089,998) |
| Market Value 08/31/2021 | $7,430,896 |

PNC BANK, NA

# ATTORNEYS' REPORT

SLEVIN & HART, P.C.
Attorneys at Law
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14 Wall Street, 20th Floor
New York, NY 10005

**MEMORANDUM**

**TO:** Retirement Fund Clients

**FROM:** Slevin & Hart, P.C.

**DATE**: August 4, 2021

**RE:** Extension of Temporary Relief from Physical Presence Requirement for Participant Elections

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Generally, the Internal Revenue Code requires that certain participant elections and spousal consents required by retirement plans must be signed in the physical presence of a plan representative or a notary public. For defined benefit plans, the most common circumstance requiring this procedure is when a married participant retires and makes an election for a form of benefit other than a qualified joint and survivor annuity. For defined contribution plans, this process is required when the married participant seeks to name a beneficiary other than the spouse to receive the balance of the account upon the participant's death.

As discussed below, in response to the ongoing challenges presented by the COVID-19 pandemic, the IRS has provided temporary relief from the physical presence requirement for these participant and spouse elections and has continued to extend this relief. The relief also applies to any other participant election for which the Code ordinarily requires the participant's physical presence when signing an election form, including COVID-19 related distributions and plan loans permitted under the CARES Act.

Under the relief, elections still must be witnessed by a notary public or a plan representative. However, the relief provides alternative methods to satisfy the notary or witness requirement remotely. The relief currently extends through June 30, 2022.

## 1. Guidance Establishing Relief

On June 3, 2020, the IRS issued Notice 2020-42 first offering this temporary relief from the physical presence requirements from January 1, 2020 through December 31, 2020. On December 23, 2020, the IRS issued Notice 2021-02, extending the relief through June 31, 2021. On June 24, 2021, the IRS issued Notice 2021-40 again extending the temporary relief through June 30, 2022 (collectively "Notices"). The conditions for obtaining relief from the physical presence requirement are the same under all three notices and are summarized below.

For plans that impose a physical presence and/or notary requirement not required by law on other elections, the state rules for notary publics would continue to apply and the simplest administrative process would be for the plan to follow the relief for these other elections as well.

**2. Conditions for Relief to Apply**

The Notices provide temporary relief from the physical presence requirement provided that the conditions below are met.

- *Participant Elections Witnessed by a Notary Public.* The Notices provide that the physical presence requirement may be satisfied for any participant election witnessed remotely by a notary public in a state that permits remote electronic notarization if the individual's signature is witnessed via live audio-video technology and is consistent with any state law requirements that apply to the credentialing of the notary public or notarization process. As of July 1, 2021, all states currently permit some form of remote electronic notarization either on a permanent or temporary basis except California, Georgia, Kansas, Louisiana, New York and South Carolina. (The rules in Illinois, Mississippi, New Mexico and Connecticut expire shortly unless renewed.) Since these rules are evolving, the Fund should confirm the current rule for the applicable state at the time of the application.

- *Participant Elections Witnessed by a Plan Representative.* For any participant election witnessed by a plan representative, the physical presence requirement may be satisfied through a live audio-video conference that satisfies the following:

  - The individual signing the participant election must present a valid photo ID to the plan representative during the live audio-video conference;

  - The live audio-video conference must allow for direct interaction between the individual and the plan representative;

  - The individual must transmit by fax or electronic means a legible copy of the signed document directly to the plan representative on the same date it is signed; and

  - After receiving the signed document, the plan representative must acknowledge that the signature was witnessed by the plan representative and then transmit the signed document, including the acknowledgment, back to the individual through a system that the individual has an effective ability to access (in accordance with electronic notice requirements) and with a statement that a paper copy will be provided upon request, at no charge.

Please contact us if you have any questions about this relief.

cc: Co-Counsel (if any)

20997285v1

SLEVIN & HART, P.C.
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14 Wall Street, 20th Floor
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# MEMORANDUM

**To:** Health & Welfare Fund Clients

**From:** Slevin & Hart, P.C.

**Date**: August 19, 2021

**Re**: Mallinckrodt plc Bankruptcy – Deadline to Respond: September 1, 2021

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The purpose of this memorandum is to describe the bankruptcy of prescription drug manufacturer Mallinckrodt plc and its affiliates (collectively, "Mallinckrodt"), in which the Fund may have a claim arising from prescription drug claims it paid, on behalf of its participants and beneficiaries, for Mallinckrodt's prescription opioid drugs.

## Action Item

As discussed below, if the Fund has a potential opioid-related claim, it is entitled to vote on Mallinckrodt's proposed Chapter 11 bankruptcy plan (the "Bankruptcy Plan") even though the Fund has not yet filed any opioid-related claims in Mallinckrodt's bankruptcy. Currently, there is not yet any deadline for the Fund to file any such opioid-related claims. Therefore, the Fund should: (1) determine whether it may have paid any opioid-related claims covered in the Mallinckrodt bankruptcy; and, if so, (2) decide whether to vote for or against the Bankruptcy Plan.

When making the decision whether to accept or reject the Bankruptcy Plan, the Fund should take into consideration whether it already has opioid abatement, substance use, and/or mental health programs ("Permitted Use Programs") in place, since the Bankruptcy Plan requires any proceeds that the Fund may receive to be used only for these Permitted Use Programs. The proceeds may not be used to directly reimburse the Fund or its participants for opioid-related claims. Mallinckrodt's current proposal regarding the scope of Permitted Use Programs – entitled "Approved Uses/Programs" – is enclosed and states that proceeds can be used for programs that: (1) increase the availability or quality of treatment for opioid-use disorder, substance use, or mental health conditions (e.g., expanding telehealth networks to include these conditions; supporting crisis-intervention services; and training health care personnel on these conditions); and (2) decrease the cost to patients for treatment of opioid-use disorder, substance use, or mental health conditions (e.g., reducing participant cost sharing for opioid addiction treatment, substance use disorder, or mental health treatment; and providing transportation to treatment).

Broadly speaking, Funds that already have Permitted Use Programs in place or whose providers are able to implement such programs with little cost to the Fund may wish to vote in favor of the Bankruptcy Plan, while Funds that would incur expenses to implement Permitted Use

Programs may wish to vote against the Bankruptcy Plan, in the hope that a revised plan would grant more flexibility in how the bankruptcy proceeds can be used.

**Given the September 3 deadline to vote on the Bankruptcy Plan, if you would like us to submit a vote on the Fund's behalf, we ask that you inform us whether the Fund chooses to vote to accept or reject the Bankruptcy Plan no later than <u>Wednesday, September 1, 2021.</u> If we have not received a voting instruction from the Fund by September 1, we will not cast a vote on the Fund's behalf. If the Bankruptcy Plan is ultimately confirmed, whether and how the Fund votes on the Bankruptcy Plan will <u>not</u> preclude the Fund from later submitting a claim to receive proceeds from the bankruptcy if the Fund determines that the potential benefits of submitting such a claim outweigh the potential costs of complying with the limitations on how those proceeds may be used.**

We note that some Funds may already have filed claims in the bankruptcy related to H.P. Acthar Gel, a non-opioid prescription drug manufactured by Mallinckrodt. Opioid claims are treated separately and give rise to a right to vote on the Bankruptcy Plan that is separate from any non-opioid-related claims the Fund may have.

## <u>Background and Status of Bankruptcy Proceeding</u>

Mallinckrodt manufactures the name-brand opioid prescription drugs Methadose and Roxicodone, as well as generic versions of the following: acetaminophen/codeine; acetaminophen/hydrocodone; acetaminophen/oxycodone; buprenorphine/naloxone; fentanyl; hydromorphone; methadone; morphine; oxycodone; and oxymorphone ("Covered Drugs").

In October 2020, Mallinckrodt filed for Chapter 11 bankruptcy to consolidate and administer all potential claims against it, including claims arising from the production, sale, marketing and distribution of Covered Drugs. On June 17, 2021, the Bankruptcy Court approved Mallinckrodt's Disclosure Statement, a copy of which can be accessed at https://tinyurl.com/MallinckrodtDisclosure, which describes the proposed Bankruptcy Plan so that creditors can decide whether to accept or reject it. The Bankruptcy Plan specifies how the money in the bankruptcy estate will be allocated among the various claimants and provides for proceeds from the bankruptcy to be paid to several trusts for the benefit of various groups of claimants. One of these trusts is for "Third Party Payors" ("TPP Trust"), which are entities, like the Fund, that paid for Mallinckrodt's opioid medications on behalf of individual participants and beneficiaries. So long as the Fund believes that it may have paid claims for Covered Drugs as a third-party payor, it is entitled to vote on the Bankruptcy Plan and to later submit a claim to receive proceeds from the TPP Trust based upon its opioid expenditures.

Whether or not the class of TPP claimants, like the Fund, will ultimately be deemed to have voted to accept or reject the Bankruptcy Plan will depend upon the vote totals of those TPP claimants that elect to vote on the Bankruptcy Plan, whether for or against. If the Bankruptcy Plan is approved in its current form, Mallinckrodt will contribute a total of $82,578,500 to the TPP Trust over time. The amount payable to the Fund will be determined based upon the total number of valid claims submitted to the TPP Trust, as well as the total amount the Fund spent on Covered Drugs and/or to treat substance use related to opioids. Because Mallinckrodt has not yet required TPP claimants to file claims, we do not know how many potential TPP claimants will share in this amount. If the Bankruptcy Plan is rejected in its current form, negotiations would likely continue among the company and various creditors. Ultimately, if no plan is approved, Mallinckrodt's assets would likely be sold and it is unclear what, if any, recovery the Fund would receive.

If the Bankruptcy Plan is confirmed, we understand that the Fund will need to decide whether to file a TPP Trust claim. We are still awaiting certain documents and information that will be necessary for the Fund to submit a TPP Trust claim. Since, under the current version of the TPP Trust documents, TPP Trust claims will not be due until 180 days after the Bankruptcy Plan is confirmed, we anticipate that TPP Trust claims will likely not be due until, at the earliest, March 2022. We will follow up with you in the future regarding the possibility of submitting a TPP Trust claim, assuming the Bankruptcy Plan is confirmed.

Please do not hesitate to contact us if you have any questions or concerns or if you would like to discuss this further.

Enclosure

cc: Co-Counsel (if any)

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**APPENDIX D: Approved Uses/Programs**

*Approved Uses/Programs.* Approved Uses/Programs are those that satisfy the following criteria (the "Approved Uses/Programs Criteria"): they (a) focus on providing treatment of Opioid Use Disorder ("OUD") and/or any Substance Use Disorder or Mental Health ("SUD/MH") conditions, and/or grants to organizations focused on providing treatment of OUD and/or any SUD/MH conditions, (b) employ evidence-based or evidence-informed strategies, and (c) do not include reimbursements to health care providers or payments to covered persons under the plan of a TPP Opioid Authorized Recipient (or ASO, if applicable). Approved Uses/Programs follow:[17]

1) Support programs that increase the availability or quality of treatment for OUD and/or any SUD/MH conditions or are designed to prevent OUD, including, but not limited to:
   a) Expand telehealth networks and availability to increase access to treatment for OUD and/or any SUD/MH conditions, including MAT, as well as counseling, psychiatric support, and other treatment and recovery support services.
   b) Support mobile, community-based crisis intervention services, including outpatient hospitals, community health centers, mental health centers and other clinics delivering mobile crisis intervention by qualified professionals and service providers, such as peer recovery coaches, for persons with OUD and/or any SUD/MH conditions and for persons who have experienced an opioid overdose. All supported services should make medications for opioid use disorder available through the mobile interventions.
   c) Train health care personnel to identify and treat trauma of individuals with OUD and/or SUD (e.g., violence, sexual assault, human trafficking, or adverse childhood experiences) and family members (e.g., surviving family members after an overdose or overdose fatality), including training of health care personnel supporting residential treatment, partial hospitalization, and intensive outpatient services.
   d) Provide funding and training for clinicians to obtain a waiver under the federal Drug Addiction Treatment Act of 2000 ("DATA 2000") to prescribe MAT for OUD, and provide technical assistance and professional support to clinicians who have obtained a DATA 2000 waiver.
   e) Support academic-led training on MAT for health care providers, students, or other supporting professionals, such as peer recovery coaches or recovery outreach specialists, including tele-mentoring to assist community-based providers in rural or underserved areas.
   f) Support stigma reduction efforts regarding treatment and support for persons with OUD, including reducing the stigma on effective treatment and peer recovery and support specialists. These efforts should be based on research evidence of what works for stigma reduction and include an evaluation of efforts.

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17 As used herein, words like "expand," "fund," "provide" or the like shall not indicate a preference for new or existing programs.

g) Support programs offering physical health and behavioral health services for members with OUD and/or any SUD/MH conditions, including but not limited to care management.

h) Support utilization management programs designed to prevent OUD.

i) Fund programs providing locations for safe and free disposal of opioids and other controlled substances.

j) Fund programs tailored to support patient adherence to MAT treatment.

k) Support educational programs on correct coding for OUD.

l) Fund programs to develop predictive modeling for earlier identification of OUD and SUD.

m) Support hospitals to deliver provision of MAT to patients.

2) Support programs that decrease the cost to the patient of treatment for OUD and/or any SUD/MH conditions.

a) Waive co-payments and other non-covered (or unreimbursed) patient costs for treatment for opioid use disorder with buprenorphine and methadone.

b) Provide or support transportation to treatment or recovery programs or services for persons with OUD and/or any SUD/MH conditions.

c) Provide community support services, including social and legal services, to assist in the living conditions of persons with OUD and/or any SUD/MH conditions.

d) Provide employment training or educational services for persons in treatment for or recovery from OUD and/or any SUD/MH conditions.

3) Grants to organizations whose mission is to provide, expand access to and/or improve the delivery of treatment for OUD and/or any SUD/MH conditions through evidence-based or evidence-informed strategies.

Examples include:

Liberation Programs Inc. (CT)

Boston Medical Center (Grayken Center) (MA)

Institutes for Behavioral Resources - Reach Health Services (MD)

Montefiore Medical Center (opioid treatment programs) (NY)

Pennsylvania Psychiatric Institute: Advancement in Recovery (PA)

Evergreen Treatment Services (WA)

Shatterproof

The Alliance for Addiction Payment Reform

National Council for Behavioral Health

Faces and Voices of Recovery

National Alliance for Recovery Residences

Supportive Housing

National Association for Mental Illness

Mental Health of America

The Trustee can add to the list of Approved Uses/Programs in this Appendix D programs that satisfy the Approved Uses/Programs Criteria; provided that the Trustee provides each State Attorney General at least forty-five (45) days advance written notice that both identifies the program or programs to be added to this Appendix D and explains how the program or programs to be added satisfy the Approved Uses/Programs Criteria.

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# MEMORANDUM

**TO:** Health Fund Clients that Receive Federal Financial Assistance

**FROM:** Slevin & Hart, P.C.

**DATE:** July 19, 2021

**RE:** Updated Guidance Regarding Final Regulations under Section 1557 of the Patient Protection and Affordable Care Act

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As described in our September 17, 2020 Memorandum, the Department of Health and Human Services ("HHS") issued a Final Rule under Section 1557 of the Affordable Care Act ("ACA") that removed prohibitions on gender identity and sexual orientation discrimination for health fund programs that receive financial assistance from the federal government. The Final Rule appeared to be in conflict with the 2020 Supreme Court decision in *Bostock v. Clayton County*, 590 U.S.___, 140 S.Ct. 1731 (June 15, 2020), and was subject to numerous legal challenges. On May 10, 2021, HHS issued guidance stating that it will interpret and enforce Section 1557 to prohibit discrimination based on both gender identity and sexual orientation, effective immediately. This position aligns the agency enforcement position with the *Bostock* decision, and reverses the Trump-era Final Rule. The HHS guidance does not otherwise affect the scope of the Final Rule.

## Action Items

Section 1557 applies only to health fund programs that receive financial assistance from the federal government. For entities such as the Fund that primarily provide health benefits, this includes Fund programs that receive retiree drug subsidies ("RDS") or self-insured Employer Group Waiver Program ("EGWP") retiree drug programs. Additionally, Funds that have an RDS or self-insured EGWP are required to submit an "assurance" on an HHS form that the Fund complies with Section 1557 and, on future applications for the RDS or EGWP, it must include a new assurance or a reference to the prior assurance. Therefore, at a minimum, the Fund should confirm that its pharmacy benefit manager is taking steps to comply with the non-discrimination requirements of Section 1557. If a Fund utilizes an insured EGWP, the insurer is generally responsible for compliance.

## HHS Guidance

In 2016, HHS (under the Obama administration) issued a Final Rule under Section 1557 of the ACA prohibiting gender identity discrimination and some forms of sexual orientation discrimination in certain health care programs. In June of 2020, HHS (under the Trump administration) issued a revised Final Rule under Section 1557 that, among other changes, removed the prohibitions on gender identity and sexual orientation discrimination.

In June 2020, the Supreme Court held in *Bostock v. Clayton County* that federal law prohibiting employment discrimination "on the basis of sex" also prohibits employment discrimination on the basis of gender identity and sexual orientation. Lawsuits were quickly filed over the Final Rule based on the *Bostock* decision and several courts issued injunctions against enforcement of the Final Rule.

When the Biden administration took office in January 2021, it issued an Executive Order directing federal agencies to review existing laws against sex discrimination and determine whether the *Bostock* reasoning should be extended to those laws. (Executive Order 13988, Preventing and Combating Discrimination on the Basis of Gender Identity or Sexual Orientation, 86 Fed. Reg. 7023 (Jan. 25, 2021)).

On March 26, 2021, the Department of Justice issued guidance stating that the *Bostock* decision should apply to Title IX (covering discrimination in education and health care) as well as to Title VII (covering discrimination in employment). This is reflected in the HHS Notification indicating that HHS will interpret and enforce Section 1557 of the ACA, consistent with *Bostock*, to prohibit discrimination based on both sexual orientation and gender identity, effective immediately. (Notification of Interpretation and Enforcement of Section 1557 of the Affordable Care Act and Title IX of the Education Amendments of 1972, https://www.hhs.gov/sites/default/files/ocr-bostock-notification.pdf (last accessed May 24, 2021)).

HHS stated that it will immediately begin accepting and investigating complaints of discrimination in health care on the basis of sexual orientation and/or gender identity. Other aspects of the 2020 Final Rule, as discussed in our previous Memorandum (relating to the scope of the Final Rule, notices, language services, and building accessibility) are not affected.

We look forward to addressing any questions you may have about how the recent guidance affects the Fund.

cc: Co-counsel (if any)

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# MEMORANDUM

**TO:** Health Fund Clients

**FROM:** Slevin & Hart, P.C.

**DATE:** August 17, 2021

**RE:** Additional IRS Guidance Regarding COBRA Subsidy Under ARPA

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In follow-up to our previous memoranda on the American Rescue Plan Act's ("ARPA") temporary 100% COBRA premium subsidy for eligible participants and dependents, this will describe additional clarifications relating to the COBRA subsidy that were issued by the IRS on July 26, 2021 in the form of FAQs ("Guidance").

## 1. <u>Eligibility for Subsidy During Periods of Extended COBRA Coverage</u>

As discussed in our June 9, 2021 memorandum, prior IRS guidance regarding the COBRA premium subsidy stated that the subsidy is available to an Assistance Eligible Individual ("AEI") who elected and remained on COBRA for an extended period due to a disability determination or second qualifying event, provided the additional period of coverage falls between April 1, 2021 and September 30, 2021 and the original qualifying event was a reduction in hours or an involuntary termination of employment. The new Guidance expands this eligibility to provide that the premium subsidy also is available to an AEI eligible for that extended period of coverage for disability even if the individual's original 18-month coverage period already expired and the individual has not yet elected the extended coverage for disability.

For example, assume that an individual is involuntarily terminated and elects COBRA coverage effective October 1, 2019. This individual's original 18-month coverage period is set to expire on March 31, 2021. On January 1, 2021, the individual receives a disability determination letter from the Social Security Administration ("SSA"). Generally, the individual would have 60 days from the issuance of the SSA's determination letter, until March 2, 2021, to notify the Plan of the disability in order to elect extended COBRA coverage. However, under the IRS and DOL's existing COVID-19 deadline extension, the individual's deadline to notify the Plan is tolled for one year. If the individual notifies the Plan of the disability determination on July 1, 2021 (within the one-year tolling period) and elects an extended period of COBRA coverage effective April 1, 2021, the individual would be eligible for the COBRA premium subsidy for periods of coverage between April 1, 2021 and September 30, 2021.

## 2. End of Subsidy Period for Dental-Only or Vision-Only Coverage

The Guidance also clarifies that, if an AEI previously elected COBRA coverage with the premium subsidy for dental-only and/or vision-only coverage, the AEI will no longer be eligible for the premium subsidy as of the date they become eligible to enroll in any other group health plan coverage or Medicare, regardless of whether the other coverage provides dental and/or vision benefits.

For example, assume that an AEI previously elected dental-only COBRA coverage effective April 1, 2021 and was receiving the premium subsidy for periods of coverage after April 1, 2021. If the AEI becomes eligible for group health plan coverage that provides only medical benefits and not dental benefits as of July 1, 2021, the individual will no longer be eligible for the COBRA premium subsidy for dental coverage as of July 1, 2021.

We will continue to monitor related guidance as it is issued and will notify you as additional information becomes available. In the meantime, please feel free to contact us with any questions.

cc: Co-Counsel (if any)

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# ADMINISTRATIVE MANAGER'S REPORT

***FELRA & UFCW***

***ACTIVE HEALTH PLAN***

***SECOND QUARTER 2021***

***ADMINISTRATIVE MANAGER'S REPORT***

PLAN I
FULL TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| GIANT | $1,579.09 | 314 | $1,134,935 |
| SAFEWAY | $1,585.35 | 209 | 800,850 |
| EMPLOYER CONTRIBUTION INCOME - RETIREE PLAN[1] | | | 3,938,325 |
| TOTAL | | 523 | $5,874,110 |

[1]PLAN I RETIREE RELATED INCOME THAT IS INCLUDED IN PLAN X MOB CALCULATION IS REPORTED ON THE PLAN INCOME PAGE, AS IT IS PLAN I RELATED INCOME.

PLAN I
PART TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| GIANT | $1,297.29 | 67 | $115,499 |
| SAFEWAY | $1,303.55 | 18 | (3,676) |
| EMPLOYER CONTRIBUTION INCOME - RETIREE PLAN[1] | | | 640,072 |
| TOTAL | | 85 | $751,895 |

[1]PLAN I RETIREE RELATED INCOME THAT IS INCLUDED IN PLAN X MOB CALCULATION IS REPORTED ON THE PLAN INCOME PAGE, AS IT IS PLAN I RELATED INCOME.

PLAN X
FULL TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| ASSOCIATED ADMINISTRATORS | $1,035.73 | 52 | $150,327 |
| GIANT | $1,225.62 | 2,504 | 8,774,100 |
| SAFEWAY | $1,231.88 | 1,527 | 5,376,206 |
| SAFEWAY DELAWARE | $1,231.88 | 45 | 159,563 |
| EMPLOYER CONTRIBUTION INCOME - RETIREE PLAN[1] | | | (3,938,325) |
| TOTAL | | 4,128 | $10,521,871 |

[1]PLAN I RETIREE RELATED INCOME THAT IS INCLUDED IN PLAN X MOB CALCULATION IS REPORTED ON THE PLAN INCOME PAGE, AS IT IS PLAN I RELATED INCOME.

PLAN X
PART TIME
SECOND QUARTER 2021

CONTRIBUTIONS
INDIVIDUAL

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| ASSOCIATED ADMINISTRATORS | $588.88 | 1 | $1,677 |
| GIANT | $769.53 | 1,667 | 3,832,934 |
| SAFEWAY | $775.79 | 729 | 1,690,624 |
| SAFEWAY DELAWARE | $775.79 | 31 | 71,069 |
| EMPLOYER CONTRIBUTION INCOME - RETIREE PLAN[1] | | | (499,709) |
| TOTAL | | 2,428 | $5,096,595 |

[1]PLAN I RETIREE RELATED INCOME THAT IS INCLUDED IN PLAN X MOB CALCULATION IS REPORTED ON THE PLAN INCOME PAGE, AS IT IS PLAN I RELATED INCOME.

PLAN X
PART TIME
SECOND QUARTER 2021

CONTRIBUTIONS
DEPENDENT

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| ASSOCIATED ADMINISTRATORS | $1,385.26 | 0 | $0 |
| GIANT | $1,583.25 | 534 | 2,481,202 |
| SAFEWAY | $1,589.51 | 140 | 655,919 |
| SAFEWAY DELAWARE | $1,589.51 | 8 | 37,396 |
| EMPLOYER CONTRIBUTION INCOME - RETIREE PLAN[1] | | | (140,363) |
| TOTAL | | 682 | $3,034,154 |

[1]PLAN I RETIREE RELATED INCOME THAT IS INCLUDED IN PLAN X MOB CALCULATION IS REPORTED ON THE PLAN INCOME PAGE, AS IT IS PLAN I RELATED INCOME.

PLAN XX
FULL TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| ASSOCIATED ADMINISTRATORS | $387.85 | 78 | $82,076 |
| GIANT | $395.76 | 273 | 313,126 |
| SAFEWAY | $395.76 | 156 | 178,854 |
| SAFEWAY DELAWARE | $395.76 | 3 | 3,440 |
| | | | |
| TOTAL | | 510 | $577,496 |

PLAN XX
PART TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| ASSOCIATED ADMINISTRATORS | $210.54 | 2 | $933 |
| GIANT | $213.78 | 560 | 319,427 |
| GIANT[1] | $345.31 | 11 | 10,964 |
| GIANT[2] | $476.84 | 5 | 7,241 |
| GIANT[3] | $608.37 | 0 | 0 |
| SAFEWAY | $213.78 | 182 | 97,748 |
| SAFEWAY[1] | $345.31 | 1 | 1,275 |
| SAFEWAY[2] | $476.84 | 1 | 1,360 |
| SAFEWAY DELAWARE | $213.78 | 4 | 2,321 |
| TOTAL | | 766 | $441,269 |

[1]PARTICIPANTS WITH ONE DEPENDENT CHILD
[2]PARTICIPANTS WITH TWO DEPENDENT CHILDREN
[3]PARTICIPANTS WITH THREE OR MORE DEPENDENT CHILDREN

PLAN XXX
FULL TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| GIANT | $268.65 | 762 | $623,997 |
| LOCAL 400 STAFF TEMPS | $268.65 | 0 | 0 |
| SAFEWAY | $268.65 | 338 | 277,101 |
| SAFEWAY DELAWARE | $268.65 | 15 | 12,556 |
| TOTAL | | 1,115 | $913,654 |

PLAN XXX
PART TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| GIANT | $161.42 | 1,975 | $720,480 |
| GIANT[1] | $300.14 | 19 | 15,141 |
| GIANT[2] | $438.86 | 10 | 11,534 |
| GIANT[3] | $577.58 | 1 | 1,613 |
| SAFEWAY | $161.42 | 1,094 | 405,070 |
| SAFEWAY[1] | $300.14 | 8 | 6,249 |
| SAFEWAY[2] | $438.86 | 5 | 5,986 |
| SAFEWAY[3] | $577.58 | 1 | 1,613 |
| SAFEWAY DELAWARE | $161.42 | 26 | 9,667 |
| TOTAL | | 3,139 | $1,177,353 |

[1]PARTICIPANTS WITH ONE DEPENDENT CHILD
[2]PARTICIPANTS WITH TWO DEPENDENT CHILDREN
[3]PARTICIPANTS WITH THREE OR MORE DEPENDENT CHILDREN

PLAN XL
PART TIME
SECOND QUARTER 2021

CONTRIBUTIONS

| COMPANY | RATE | PARTICIPANTS | CONTRIBUTIONS |
|---|---|---|---|
| GIANT | $25.72 | 1,580 | $112,585 |
| SAFEWAY | $25.72 | 912 | 64,703 |
| SAFEWAY DELAWARE | $25.72 | 27 | 1,911 |
| TOTAL | | 2,519 | $179,199 |

PLAN I
FULL TIME
SECOND QUARTER 2021

BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL BENEFIT 24 | $4.95 | $4,455 | | 300 PART |
| OPTICAL BENEFIT 12 | $6.95 | 4,629 | | 222 PART |
| TOTAL OPTICAL | | $9,084 | $5.79 | |
| | | | | |
| DENTAL INDIVIDUAL[1] | $27.60 | $21,607 | | 246 PART |
| DENTAL FAMILY[1] | $54.72 | 43,068 | | 276 PART |
| TOTAL DENTAL | | $64,675 | $41.22 | |
| | | | | |
| DRUG | $.80/SCRIPT | $387,553 | $247.01 | 3,732 SCRIPTS |
| | | | | |
| HMO KAISER | $2,260.77 | $20,347 | | 3 PART |
| HOSPITAL | | 5,138 | | |
| SURGICAL | | 15,680 | | |
| DIAGNOSTIC | | 12,390 | | |
| MAJOR MEDICAL | | 1,302,682 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 23,356 | | 518 PART |
| TOTAL MEDICAL | | $1,379,593 | $879.28 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $4,045 | $2.58 | 521 PART |
| BEHAVIORAL HEALTH | | $50,688 | $32.31 | |
| E.A.P. | $.67 | $1,046 | $0.67 | 521 PART |
| UM/DM | $1.03/2.80 | $11,200 | $7.14 | 518 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $1,736 | $1.11 | 520 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $9,780 | $6.23 | 138 PART |
| P P O CAREFIRST NETWORK | $4.66 | $5,410 | $3.45 | 387 PART |
| HRGI/A&G CLAIM DISCOUNTER | | $235 | $0.15 | |
| LIFE-AD&D | $.183/.018/1000 | $5,151 | $3.28 | 529 PART |
| A & S | | $205,778 | $131.15 | |
| SUB TOTAL | | $2,135,974 | $1,361.37 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $24,046 | $15.33 | 545 PART |
| MISCELLANEOUS | | $9,354 | $5.96 | |
| SUB TOTAL | | $33,400 | $21.29 | |
| TOTAL | | **$2,169,374** | **$1,382.66** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN I
PART TIME
SECOND QUARTER 2021

BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL BENEFIT 24 | $4.95 | $753 | | 50 PART |
| OPTICAL BENEFIT 12 | $6.95 | 771 | | 34 PART |
| TOTAL OPTICAL | | $1,524 | $5.98 | |
| | | | | |
| DENTAL INDIVIDUAL[1] | $27.60 | $3,740 | | 42 PART |
| DENTAL FAMILY[1] | $54.72 | 6,483 | | 42 PART |
| TOTAL DENTAL | | $10,223 | $40.09 | |
| | | | | |
| DRUG | $.80/SCRIPT | $41,246 | $161.75 | 636 SCRIPTS |
| | | | | |
| HMO KAISER | $2,158.91 | $0 | | |
| HOSPITAL | | 46,961 | | |
| SURGICAL | | 20,725 | | |
| DIAGNOSTIC | | 6,726 | | |
| MAJOR MEDICAL | | 88,996 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 3,771 | | 84 PART |
| TOTAL MEDICAL | | $167,179 | $655.60 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $652 | $2.56 | 84 PART |
| BEHAVIORAL HEALTH | | $2,288 | $8.97 | |
| E.A.P. | $.67 | $169 | $0.66 | 84 PART |
| UM/DM | $1.03/2.80 | $1,684 | $6.60 | 84 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $263 | $1.03 | 84 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $1,563 | $6.13 | 22 PART |
| P P O CAREFIRST NETWORK | $4.66 | $876 | $3.44 | 63 PART |
| HRGI/A&G CLAIM DISCOUNTER | | $38 | $0.15 | |
| LIFE-AD&D | $.183/.018/1000 | $354 | $1.39 | 85 PART |
| A & S | | $12,594 | $49.39 | |
| SUB TOTAL | | **$240,653** | $943.74 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $3,986 | $15.63 | 91 PART |
| MISCELLANEOUS | | $1,520 | $5.96 | |
| SUB TOTAL | | $5,506 | $21.59 | |
| TOTAL | | **$246,159** | **$965.33** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN X
FULL TIME
SECOND QUARTER 2021

## BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $61,267 | $4.95 | 4,127 PART |
| | | | | |
| DENTAL FAMILY[1] | $57.65 | $341,516 | | 2,061 PART |
| DENTAL INDIVIDUAL[1] | $28.01 | 181,998 | | 2,064 PART |
| TOTAL DENTAL | | $523,514 | $42.27 | |
| | | | | |
| DRUG | $.80/SCRIPT | $2,155,783 | $174.08 | 24,360 SCRIPTS |
| | | | | |
| HMO KAISER | $1,439.37 | $129,543 | | 30 PART |
| MEDICAL INDIVIDUAL | | 2,953,095 | | |
| MEDICAL FAMILY | | 4,240,577 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 184,430 | | 4,093 PART |
| TOTAL MEDICAL | | $7,507,645 | $606.24 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $31,888 | $2.57 | 4,104 PART |
| BEHAVIORAL HEALTH | | $74,393 | $6.01 | |
| E.A.P. | $0.67 | $8,249 | $0.67 | 4,104 PART |
| UM/DM | $1.03/2.80 | $98,186 | $7.93 | 4,090 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $15,315 | $1.24 | 4,112 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $69,524 | $5.61 | 982 PART |
| P P O CAREFIRST NETWORK | $4.66 | $43,855 | $3.54 | 3,137 PART |
| HRGI/A&G CLAIM DISCOUNTER | | $1,849 | $0.15 | |
| LIFE-AD&D | $.183/.018/1000 | $18,223 | $1.47 | 4,153 PART |
| A & S | | $868,937 | $70.17 | |
| SUB TOTAL | | **$11,478,628** | $926.90 | |

## OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $187,286 | $15.12 | 4,252 PART |
| MISCELLANEOUS | | $73,835 | $5.96 | |
| SUB TOTAL | | $261,121 | $21.08 | |
| TOTAL | | **$11,739,749** | **$947.98** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN X
PART TIME
SECOND QUARTER 2021

BENEFIT EXPENSES
INDIVIDUAL

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $34,091 | $4.68 | 2,228 PART |
| | | | | |
| DENTAL[1] | $28.01 | $189,811 | $26.06 | 2,224 PART |
| | | | | |
| DRUG | $.80/SCRIPT | $1,108,831 | $152.23 | 8,917 SCRIPTS |
| | | | | |
| HMO KAISER | $1,036.71 | $32,104 | | 10 PART |
| MEDICAL | | 2,614,382 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 100,138 | | 2,222 PART |
| TOTAL MEDICAL | | $2,746,624 | $377.08 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $17,304 | $2.38 | 2,227 PART |
| BEHAVIORAL HEALTH | | $14,314 | $1.97 | |
| E.A.P. | $0.67 | $4,477 | $0.61 | 2,227 PART |
| UM/DM | $1.03/2.80 | $27,993 | $3.84 | 2,222 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $4,324 | $0.59 | 2,218 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $31,826 | $4.37 | 450 PART |
| P P O CAREFIRST NETWORK | $4.66 | $25,127 | $3.45 | 1,797 PART |
| HRGI/A&G CLAIM DISCOUNTER | | $1,088 | $0.15 | |
| LIFE-AD&D | $.183/.018/1000 | $6,523 | $0.90 | 2,265 PART |
| A & S | | $237,804 | $32.65 | |
| SUB TOTAL | | **$4,450,137** | $610.96 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $107,885 | $14.81 | 2,436 PART |
| MISCELLANEOUS | | $43,428 | $5.96 | |
| SUB TOTAL | | $151,313 | $20.77 | |
| | | | | |
| TOTAL | | **$4,601,450** | **$631.73** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN X
PART TIME
SECOND QUARTER 2021

BENEFIT EXPENSES
DEPENDENT

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $10,806 | $5.28 | 702 PART |
| | | | | |
| DENTAL[1] | $57.65 | $117,308 | $57.34 | 702 PART |
| | | | | |
| DRUG | $.80/SCRIPT | $529,454 | $258.78 | 6,411 SCRIPTS |
| | | | | |
| HMO KAISER | $1,807.09 | $18,188 | | 3 PART |
| MEDICAL | | 1,913,343 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 31,242 | | 693 PART |
| TOTAL MEDICAL | | $1,962,773 | $959.32 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $5,406 | $2.64 | 696 PART |
| BEHAVIORAL HEALTH | | $9,459 | $4.62 | |
| E.A.P. | $.67 | $1,398 | $0.68 | 696 PART |
| UM/DM | $1.03/2.80 | $23,764 | $11.61 | 694 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $3,704 | $1.81 | 699 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $11,461 | $5.60 | 162 PART |
| P P O CAREFIRST NETWORK | $4.66 | $7,517 | $3.67 | 538 PART |
| HRGI/A&G CLAIM DISCOUNTER | | $304 | $0.15 | |
| LIFE-AD&D | $.183/.018/1000 | $2,011 | $0.98 | 698 PART |
| A & S | | $93,009 | $45.46 | |
| SUB TOTAL | | **$2,778,374** | $1,357.94 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $31,405 | $15.35 | 713 PART |
| MISCELLANEOUS | | $12,199 | $5.96 | |
| SUB TOTAL | | $43,604 | $21.31 | |
| TOTAL | | **$2,821,978** | **$1,379.25** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN XX
FULL TIME
SECOND QUARTER 2021

## BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $6,682 | $4.37 | 450 PART |
| | | | | |
| DENTAL FAMILY[1] | $33.99 | $12,007 | | 121 PART |
| DENTAL INDIVIDUAL[1] | $16.51 | 16,552 | | 330 PART |
| TOTAL DENTAL | | $28,559 | $18.67 | |
| | | | | |
| DRUG | $.80/SCRIPT | $40,459 | $26.44 | 1,278 SCRIPTS |
| | | | | |
| HMO KAISER | $206.68 | $2,480 | | 4 PART |
| MEDICAL INDIVIDUAL | | 407,739 | | |
| MEDICAL FAMILY | | 57,279 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 20,112 | | 446 PART |
| TOTAL MEDICAL | | $487,610 | $318.70 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $3,486 | $2.28 | 449 PART |
| BEHAVIORAL HEALTH | | $1,079 | $0.71 | |
| UM/DM | $1.03/2.80 | $8,336 | $5.45 | 446 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $1,300 | $0.85 | 449 PART |
| P.P.O  CAREFIRST FLEXLINK | $23.55 | $7,933 | $5.18 | 112 PART |
| P P O  CAREFIRST NETWORK | $4.66 | $4,777 | $3.12 | 342 PART |
| LIFE-AD&D | $.183/.018/1000 | $1,390 | $0.91 | 461 PART |
| A & S | | $29,004 | $18.96 | |
| SUB TOTAL | | **$620,615** | $405.64 | |

## OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $23,044 | $15.06 | 524 PART |
| MISCELLANEOUS | | $9,121 | $5.96 | |
| SUB TOTAL | | $32,165 | $21.02 | |
| TOTAL | | **$652,780** | **$426.66** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN XX
PART TIME
SECOND QUARTER 2021

BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $8,861 | $3.86 | 550 PART |
| | | | | |
| DENTAL FAMILY[1] | $33.99 | $1,774 | | 19 PART |
| DENTAL INDIVIDUAL[1] | $16.51 | 26,413 | | 530 PART |
| DENTAL | | $28,187 | $12.27 | |
| | | | | |
| DRUG | $.80/SCRIPT | $140,428 | $61.11 | 1,861 SCRIPTS |
| | | | | |
| HMO KAISER | $206.68 | $3,100 | | 5 PART |
| MEDICAL | | 450,842 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 24,573 | | 545 PART |
| TOTAL MEDICAL | | $478,515 | $208.23 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $4,254 | $1.85 | 547 PART |
| BEHAVIORAL HEALTH | | $42 | $0.02 | |
| UM/DM | $1.03/2.80 | $7,197 | $3.13 | 546 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $1,109 | $0.48 | 543 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $6,536 | $2.84 | 92 PART |
| P P O CAREFIRST NETWORK | $4.66 | $6,473 | $2.82 | 463 PART |
| LIFE-AD&D | $.183/.018/1000 | $846 | $0.37 | 562 PART |
| A & S | | $12,882 | $5.61 | |
| SUB TOTAL | | **$695,330** | $302.59 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $38,966 | $16.96 | 884 PART |
| MISCELLANEOUS | | $13,701 | $5.96 | |
| SUB TOTAL | | $52,667 | $22.92 | |
| TOTAL | | **$747,997** | **$325.51** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN XXX
FULL TIME
SECOND QUARTER 2021

BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $9,751 | $2.92 | 657 PART |
| | | | | |
| DENTAL FAMILY[1] | $33.99 | $20,912 | | 212 PART |
| DENTAL INDIVIDUAL[1] | $16.51 | 22,451 | | 444 PART |
| TOTAL DENTAL | | $43,363 | $12.96 | |
| | | | | |
| DRUG | $.80/SCRIPT | $215,120 | $64.31 | 2,216 SCRIPTS |
| | | | | |
| HMO KAISER | $330.14 | $7,923 | | 8 PART |
| MEDICAL INDIVIDUAL | | 259,781 | | |
| MEDICAL FAMILY | | 135,244 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 30,175 | | 670 PART |
| TOTAL MEDICAL | | $433,123 | $129.48 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $5,210 | $1.56 | 671 PART |
| BEHAVIORAL HEALTH | | $3,603 | $1.08 | |
| UM/DM | $1.03/2.80 | $13,221 | $3.95 | 669 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $2,084 | $0.62 | 679 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $12,076 | $3.61 | 171 PART |
| P P O CAREFIRST NETWORK | $4.66 | $6,953 | $2.08 | 497 PART |
| LIFE-AD&D | $.183/.018/1000 | $2,015 | $0.60 | 668 PART |
| A & S | | $33,438 | $10.00 | |
| SUB TOTAL | | **$779,957** | $233.17 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $47,908 | $14.32 | 1,068 PART |
| MISCELLANEOUS | | $19,944 | $5.96 | |
| SUB TOTAL | | $67,852 | $20.28 | |
| TOTAL | | **$847,809** | **$253.45** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN XXX
PART TIME
SECOND QUARTER 2021

BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $12,206 | $1.30 | 822 PART |
| | | | | |
| DENTAL FAMILY[1] | $33.99 | $3,072 | | 35 PART |
| DENTAL INDIVIDUAL[1] | $16.51 | 39,370 | | 787 PART |
| DENTAL | | $42,442 | $4.51 | |
| | | | | |
| DRUG | $.80/SCRIPT | $92,973 | $9.87 | 2,570 SCRIPTS |
| | | | | |
| HMO KAISER | $206.25 | $5,569 | | 9 PART |
| MEDICAL INDIVIDUAL | | 495,672 | | |
| MEDICAL FAMILY | | 5,794 | | |
| MEDICAL ADMINISTRATION[2] | $15.02 | 49,656 | | 1,102 PART |
| TOTAL MEDICAL | | $556,691 | $59.12 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $8,635 | $0.92 | 1,111 PART |
| BEHAVIORAL HEALTH | | $1,694 | $0.18 | |
| UM/DM | $1.03/2.80 | $14,681 | $1.56 | 1,103 PART |
| PRESCRIPTION CARE MNGMT | $0.65 | $2,283 | $0.24 | 1,111 PART |
| P.P.O CAREFIRST FLEXLINK | $23.55 | $17,333 | $1.84 | 245 PART |
| P P O CAREFIRST NETWORK | $4.66 | $12,163 | $1.29 | 870 PART |
| LIFE-AD&D | $.183/.018/1000 | $1,567 | $0.17 | 1,039 PART |
| A & S | | $7,457 | $0.79 | |
| SUB TOTAL | | **$770,125** | $81.79 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $143,917 | $15.28 | 3,201 PART |
| MISCELLANEOUS | | $56,147 | $5.96 | |
| SUB TOTAL | | $200,064 | $21.24 | |
| | | | | |
| TOTAL | | **$970,189** | **$103.03** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN XL
PART TIME
SECOND QUARTER 2021

BENEFIT EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $5,124 | $0.68 | 346 PART |
| | | | | |
| DENTAL[1] | $16.51 | $17,167 | $2.27 | 345 PART |
| | | | | |
| LIFE-AD&D | $.183/.018/1000 | $663 | $0.09 | 439 PART |
| | | | | |
| A & S | | $2,588 | $0.34 | |
| | | | | |
| SUB TOTAL | | **$25,542** | $3.38 | |

OPERATING EXPENSES

| | | | | |
|---|---|---|---|---|
| ADMINISTRATION[2] | $14.66 | $101,102 | $13.38 | 2,280 PART |
| MISCELLANEOUS | | $45,056 | $5.96 | |
| SUB TOTAL | | $146,158 | $19.34 | |
| TOTAL | | **$171,700** | **$22.72** | |

[1]RATE CHANGE EFFECTIVE JUNE 2021
[2]RATE CHANGE EFFECTIVE APRIL 2021

PLAN I
FULL TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $1,221,714 | $2,411,061 | | | $3,632,775 |
| EMPLOYER CONTR - RETIREE | 3,438,589 | 3,463,049 | | | 6,901,638 |
| MISC. INCOME - RETIREE | 862,085 | 854,882 | | | 1,716,967 |
| -LOA | 0 | 0 | | | 0 |
| -COBRA | 4,314 | 4,314 | | | 8,628 |
| -HMO COPAY | 7,079 | 14,391 | | | 21,470 |
| TOTAL | $5,533,781 | $6,747,697 | $0 | $0 | $12,281,478 |
| EXPENSES | | | | | |
| MEDICAL | $1,408,162 | $1,379,593 | | | $2,787,755 |
| RETIREE | 3,293,195 | 3,641,556 | | | 6,934,751 |
| A & S | 140,586 | 205,778 | | | 346,364 |
| DENTAL | 67,620 | 64,675 | | | 132,295 |
| DRUG | 489,609 | 387,553 | | | 877,162 |
| BEHAVIORAL HEALTH | 88,719 | 54,733 | | | 143,452 |
| OPTICAL | 9,342 | 9,084 | | | 18,426 |
| LIFE & AD & D | 5,274 | 5,151 | | | 10,425 |
| UM/DM | 11,276 | 11,200 | | | 22,476 |
| PRESCRIPTION CARE MNGMT | 1,774 | 1,736 | | | 3,510 |
| E.A.P. | 1,072 | 1,046 | | | 2,118 |
| P.P.O. | 16,703 | 15,425 | | | 32,128 |
| OPERATING EXPENSE | 36,165 | 33,400 | | | 69,565 |
| TOTAL EXPENSE | $5,569,497 | $5,810,930 | $0 | $0 | $11,380,427 |
| SURPLUS (DEFICIT) | **($35,716)** | **$936,767** | **$0** | **$0** | **$901,051** |
| AVERAGE INCOME | $3,461 | $4,301 | $0 | $0 | $3,881 |
| AVERAGE EXPENSE | $3,483 | $3,704 | $0 | $0 | $3,594 |
| SURPLUS (DEFICIT) | ($22) | $597 | $0 | $0 | $287 |
| TOTAL PARTICIPANTS | 533 | 523 | | | 528 |

PLAN I
PART TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $109,815 | $231,102 | | | $340,917 |
| EMPLOYER CONTR - RETIREE | 543,587 | 520,793 | | | 1,064,380 |
| MISC. INCOME - RETIREE | 183,461 | 183,518 | | | 366,979 |
| -LOA | 0 | 0 | | | 0 |
| -COBRA | 0 | 0 | | | 0 |
| -HMO COPAY | 0 | 0 | | | 0 |
| TOTAL | $836,863 | $935,413 | $0 | $0 | $1,772,276 |
| EXPENSES | | | | | |
| MEDICAL | $209,371 | $167,179 | | | $376,550 |
| RETIREE | 592,548 | 670,176 | | | 1,262,724 |
| A & S | 8,741 | 12,594 | | | 21,335 |
| DENTAL | 10,707 | 10,223 | | | 20,930 |
| DRUG | 47,925 | 41,246 | | | 89,171 |
| BEHAVIORAL HEALTH | 3,313 | 2,940 | | | 6,253 |
| OPTICAL | 1,412 | 1,524 | | | 2,936 |
| LIFE & AD & D | 365 | 354 | | | 719 |
| UM/DM | 1,673 | 1,684 | | | 3,357 |
| PRESCRIPTION CARE MNGMT | 265 | 263 | | | 528 |
| E.A.P. | 172 | 169 | | | 341 |
| P.P.O. | 2,746 | 2,477 | | | 5,223 |
| OPERATING EXPENSE | 6,033 | 5,506 | | | 11,539 |
| TOTAL EXPENSE | $885,271 | $916,335 | $0 | $0 | $1,801,606 |
| SURPLUS (DEFICIT) | **($48,408)** | **$19,078** | **$0** | **$0** | **($29,330)** |
| AVERAGE INCOME | $3,099 | $3,668 | $0 | $0 | $3,384 |
| AVERAGE EXPENSE | $3,279 | $3,593 | $0 | $0 | $3,436 |
| SURPLUS (DEFICIT) | ($180) | $75 | $0 | $0 | ($52) |
| TOTAL PARTICIPANTS | 90 | 85 | | | 88 |

PLAN X
FULL TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $8,271,146 | $10,521,871 | | | $18,793,017 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 28,826 | 23,161 | | | 51,987 |
| -HMO COPAY | 73,649 | 60,803 | | | 134,452 |
| | | | | | |
| TOTAL INCOME | $8,373,621 | $10,605,835 | $0 | $0 | $18,979,456 |
| | | | | | |
| EXPENSES | | | | | |
| MEDICAL | $9,888,796 | $7,507,645 | | | $17,396,441 |
| A & S | 758,138 | 868,937 | | | 1,627,075 |
| DENTAL | 540,745 | 523,514 | | | 1,064,259 |
| DRUG | 3,144,637 | 2,155,783 | | | 5,300,420 |
| BEHAVIORAL HEALTH | 162,940 | 106,281 | | | 269,221 |
| OPTICAL | 62,132 | 61,267 | | | 123,399 |
| LIFE & AD & D | 18,402 | 18,223 | | | 36,625 |
| UM/DM | 98,052 | 98,186 | | | 196,238 |
| PRESCRIPTION CARE MNGMT | 15,522 | 15,315 | | | 30,837 |
| E.A.P. | 8,354 | 8,249 | | | 16,603 |
| P.P.O. | 124,415 | 115,228 | | | 239,643 |
| OPERATING EXPENSE | 279,752 | 261,121 | | | 540,873 |
| | | | | | |
| TOTAL EXPENSE | $15,101,885 | $11,739,749 | $0 | $0 | $26,841,634 |
| | | | | | |
| SURPLUS (DEFICIT) | **($6,728,264)** | **($1,133,914)** | **$0** | **$0** | **($7,862,178)** |
| | | | | | |
| AVERAGE INCOME | $667 | $856 | $0 | $0 | $762 |
| AVERAGE EXPENSE | $1,203 | $948 | $0 | $0 | $1,076 |
| SURPLUS (DEFICIT) | ($536) | ($92) | $0 | $0 | ($314) |
| | | | | | |
| TOTAL PARTICIPANTS | 4,184 | 4,128 | | | 4,156 |

PLAN X
PART TIME
SECOND QUARTER 2021

INDIVIDUAL
QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $4,476,695 | $5,096,595 | | | $9,573,290 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 39,198 | 32,511 | | | 71,709 |
| -HMO COPAY | 15,426 | 17,302 | | | 32,728 |
| TOTAL INCOME | $4,531,319 | $5,146,408 | $0 | $0 | $9,677,727 |
| EXPENSES | | | | | |
| MEDICAL | $2,755,797 | $2,746,624 | | | $5,502,421 |
| A & S | 197,775 | 237,804 | | | 435,579 |
| DENTAL | 193,049 | 189,811 | | | 382,860 |
| DRUG | 1,324,898 | 1,108,831 | | | 2,433,729 |
| BEHAVIORAL HEALTH | 65,723 | 31,618 | | | 97,341 |
| OPTICAL | 32,917 | 34,091 | | | 67,008 |
| LIFE & AD & D | 6,659 | 6,523 | | | 13,182 |
| UM/DM | 28,270 | 27,993 | | | 56,263 |
| PRESCRIPTION CARE MNGMT | 4,427 | 4,324 | | | 8,751 |
| E.A.P. | 4,571 | 4,477 | | | 9,048 |
| P.P.O. | 63,520 | 58,041 | | | 121,561 |
| OPERATING EXPENSES | 161,078 | 151,313 | | | 312,391 |
| TOTAL EXPENSE | $4,838,684 | $4,601,450 | $0 | $0 | $9,440,134 |
| SURPLUS (DEFICIT) | **($307,365)** | **$544,958** | **$0** | **$0** | **$237,593** |
| AVERAGE INCOME | $628 | $707 | $0 | $0 | $668 |
| AVERAGE EXPENSE | $671 | $632 | $0 | $0 | $652 |
| SURPLUS (DEFICIT) | ($43) | $75 | $0 | $0 | $16 |
| TOTAL PARTICIPANTS | 2,404 | 2,428 | | | 2,416 |

PLAN X
PART TIME
SECOND QUARTER 2021

DEPENDENT
QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $2,818,651 | $3,034,154 | | | $5,852,805 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 0 | 0 | | | 0 |
| -HMO COPAY | 17,587 | 17,586 | | | 35,173 |
| TOTAL INCOME | $2,836,238 | $3,051,740 | $0 | $0 | $5,887,978 |
| EXPENSES | | | | | |
| MEDICAL | $2,037,692 | $1,962,773 | | | $4,000,465 |
| A & S | 74,565 | 93,009 | | | 167,574 |
| DENTAL | 124,055 | 117,308 | | | 241,363 |
| DRUG | 789,680 | 529,454 | | | 1,319,134 |
| BEHAVIORAL HEALTH | 10,611 | 14,865 | | | 25,476 |
| OPTICAL | 10,222 | 10,806 | | | 21,028 |
| LIFE & AD & D | 2,040 | 2,011 | | | 4,051 |
| UM/DM | 23,957 | 23,764 | | | 47,721 |
| PRESCRIPTION CARE MNGMT | 3,788 | 3,704 | | | 7,492 |
| E.A.P. | 1,419 | 1,398 | | | 2,817 |
| P.P.O. | 21,090 | 19,282 | | | 40,372 |
| OPERATING EXPENSE | 47,269 | 43,604 | | | 90,873 |
| TOTAL EXPENSE | $3,146,388 | $2,821,978 | $0 | $0 | $5,968,366 |
| SURPLUS (DEFICIT) | **($310,150)** | **$229,762** | **$0** | **$0** | **($80,388)** |
| AVERAGE INCOME | $1,351 | $1,492 | $0 | $0 | $1,422 |
| AVERAGE EXPENSE | $1,498 | $1,379 | $0 | $0 | $1,439 |
| SURPLUS (DEFICIT) | ($147) | $113 | $0 | $0 | ($17) |
| TOTAL PARTICIPANTS | 700 | 682 | | | 691 |

PLAN XX
FULL TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $512,779 | $577,496 | | | $1,090,275 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 1,359 | 340 | | | 1,699 |
| -HMO COPAY | 0 | 0 | | | 0 |
| TOTAL INCOME | $514,138 | $577,836 | $0 | $0 | $1,091,974 |
| EXPENSES | | | | | |
| MEDICAL | $283,334 | $487,610 | | | $770,944 |
| A & S | 17,027 | 29,004 | | | 46,031 |
| DENTAL | 29,292 | 28,559 | | | 57,851 |
| DRUG | 42,520 | 40,459 | | | 82,979 |
| BEHAVIORAL HEALTH | 4,504 | 4,565 | | | 9,069 |
| OPTICAL | 6,796 | 6,682 | | | 13,478 |
| LIFE & AD & D | 1,363 | 1,390 | | | 2,753 |
| UM/DM | 8,406 | 8,336 | | | 16,742 |
| PRESCRIPTION CARE MNGMT | 1,331 | 1,300 | | | 2,631 |
| P.P.O. | 12,960 | 12,710 | | | 25,670 |
| OPERATING EXPENSE | 32,822 | 32,165 | | | 64,987 |
| TOTAL EXPENSE | $440,355 | $652,780 | $0 | $0 | $1,093,135 |
| SURPLUS (DEFICIT) | **$73,783** | **($74,944)** | **$0** | **$0** | **($1,161)** |
| AVERAGE INCOME | $329 | $378 | $0 | $0 | $354 |
| AVERAGE EXPENSE | $282 | $427 | $0 | $0 | $355 |
| SURPLUS (DEFICIT) | $47 | ($49) | $0 | $0 | ($1) |
| TOTAL PARTICIPANTS | 521 | 510 | | | 516 |

PLAN XX
PART TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $427,769 | $441,269 | | | $869,038 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 1,300 | 1,081 | | | 2,381 |
| -HMO COPAY | 1,668 | 1,680 | | | 3,348 |
| TOTAL INCOME | $430,737 | $444,030 | $0 | $0 | $874,767 |
| EXPENSES | | | | | |
| MEDICAL | $339,489 | $478,515 | | | $818,004 |
| A & S | 12,136 | 12,882 | | | 25,018 |
| DENTAL | 29,627 | 28,187 | | | 57,814 |
| DRUG | 115,786 | 140,428 | | | 256,214 |
| BEHAVIORAL HEALTH | 5,114 | 4,296 | | | 9,410 |
| OPTICAL | 7,801 | 8,861 | | | 16,662 |
| LIFE & AD & D | 871 | 846 | | | 1,717 |
| UM/DM | 7,368 | 7,197 | | | 14,565 |
| PRESCRIPTION CARE MNGMT | 1,162 | 1,109 | | | 2,271 |
| P.P.O. | 13,856 | 13,009 | | | 26,865 |
| OPERATING EXPENSE | 59,918 | 52,667 | | | 112,585 |
| TOTAL EXPENSE | $593,128 | $747,997 | $0 | $0 | $1,341,125 |
| SURPLUS (DEFICIT) | **($162,391)** | **($303,967)** | **$0** | **$0** | **($466,358)** |
| AVERAGE INCOME | $163 | $193 | $0 | $0 | $178 |
| AVERAGE EXPENSE | $224 | $325 | $0 | $0 | $275 |
| SURPLUS (DEFICIT) | ($61) | ($132) | $0 | $0 | ($97) |
| TOTAL PARTICIPANTS | 882 | 766 | | | 824 |

PLAN XXX
FULL TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $784,188 | $913,654 | | | $1,697,842 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 401 | 327 | | | 728 |
| -HMO COPAY | 2,020 | 2,139 | | | 4,159 |
| TOTAL INCOME | $786,609 | $916,120 | $0 | | $1,702,729 |
| EXPENSES | | | | | |
| MEDICAL | $569,975 | $433,123 | | | $1,003,098 |
| A & S | 35,135 | 33,438 | | | 68,573 |
| DENTAL | 41,855 | 43,363 | | | 85,218 |
| DRUG | 238,279 | 215,120 | | | 453,399 |
| BEHAVIORAL HEALTH | 8,582 | 8,813 | | | 17,395 |
| OPTICAL | 9,326 | 9,751 | | | 19,077 |
| LIFE & AD & D | 1,832 | 2,015 | | | 3,847 |
| UM/DM | 12,848 | 13,221 | | | 26,069 |
| PRESCRIPTION CARE MNGMT | 2,040 | 2,084 | | | 4,124 |
| P.P.O. | 17,950 | 19,029 | | | 36,979 |
| OPERATING EXPENSE | 66,664 | 67,852 | | | 134,516 |
| TOTAL EXPENSE | $1,004,486 | $847,809 | $0 | $0 | $1,852,295 |
| SURPLUS (DEFICIT) | **($217,877)** | **$68,311** | **$0** | **$0** | **($149,566)** |
| AVERAGE INCOME | $246 | $274 | $0 | $0 | $260 |
| AVERAGE EXPENSE | $314 | $253 | $0 | $0 | $284 |
| SURPLUS (DEFICIT) | ($68) | $21 | $0 | $0 | ($24) |
| TOTAL PARTICIPANTS | 1,066 | 1,115 | | | 1,091 |

PLAN XXX
PART TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $872,365 | $1,177,353 | | | $2,049,718 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 13,330 | 10,824 | | | 24,154 |
| -HMO COPAY | 3,291 | 2,575 | | | 5,866 |
| TOTAL INCOME | $888,986 | $1,190,752 | $0 | $0 | $2,079,738 |
| EXPENSES | | | | | |
| MEDICAL | $609,628 | $556,691 | | | $1,166,319 |
| A & S | 7,951 | 7,457 | | | 15,408 |
| DENTAL | 41,170 | 42,442 | | | 83,612 |
| DRUG | 199,035 | 92,973 | | | 292,008 |
| BEHAVIORAL HEALTH | 13,254 | 10,329 | | | 23,583 |
| OPTICAL | 11,736 | 12,206 | | | 23,942 |
| LIFE & AD & D | 1,447 | 1,567 | | | 3,014 |
| UM/DM | 14,475 | 14,681 | | | 29,156 |
| PRESCRIPTION CARE MNGMT | 2,294 | 2,283 | | | 4,577 |
| P.P.O. | 28,834 | 29,496 | | | 58,330 |
| OPERATING EXPENSE | 197,463 | 200,064 | | | 397,527 |
| TOTAL EXPENSE | $1,127,287 | $970,189 | $0 | $0 | $2,097,476 |
| SURPLUS (DEFICIT) | **($238,301)** | **$220,563** | **$0** | **$0** | **($17,738)** |
| AVERAGE INCOME | $93 | $126 | $0 | $0 | $110 |
| AVERAGE EXPENSE | $117 | $103 | $0 | $0 | $110 |
| SURPLUS (DEFICIT) | ($24) | $23 | $0 | $0 | $0 |
| TOTAL PARTICIPANTS | 3,198 | 3,139 | | | 3,169 |

PLAN XL
PART TIME
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $138,208 | $179,199 | | | $317,407 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 69 | 69 | | | 138 |
| | | | | | |
| TOTAL INCOME | $138,277 | $179,268 | $0 | $0 | $317,545 |
| | | | | | |
| EXPENSES | | | | | |
| A & S | $2,427 | $2,588 | | | $5,015 |
| DENTAL | 16,882 | 17,167 | | | 34,049 |
| OPTICAL | 5,054 | 5,124 | | | 10,178 |
| LIFE & AD & D | 676 | 663 | | | 1,339 |
| OPERATING EXPENSE | 150,413 | 146,158 | | | 296,571 |
| | | | | | |
| TOTAL EXPENSE | $175,452 | $171,700 | $0 | $0 | $347,152 |
| | | | | | |
| SURPLUS (DEFICIT) | **($37,175)** | **$7,568** | **$0** | **$0** | **($29,607)** |
| | | | | | |
| AVERAGE INCOME | $20 | $24 | $0 | $0 | $22 |
| AVERAGE EXPENSE | $26 | $23 | $0 | $0 | $25 |
| SURPLUS (DEFICIT) | ($6) | $1 | $0 | $0 | ($3) |
| | | | | | |
| TOTAL PARTICIPANTS | 2,280 | 2,519 | | | 2,400 |

COMBINED FUND
SECOND QUARTER 2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $19,633,330 | $24,583,754 | $0 | $0 | $44,217,084 |
| EMPLOYER CONTR - RETIREE | 3,982,176 | 3,983,842 | 0 | 0 | 7,966,018 |
| EMPLOYEE CONTRIBUTIONS | 1,255,063 | 1,227,503 | 0 | 0 | 2,482,566 |
| INTEREST & DIVIDENDS - ACTIVE | 121,845 | 88,263 | 0 | 0 | 210,108 |
| INTEREST & DIVIDENDS - RETIREE | 26,185 | 18,758 | 0 | 0 | 44,943 |
| STIPEND | 1,021,927 | 979,146 | 0 | 0 | 2,001,073 |
| MISCELLANEOUS[1] | 0 | 31 | 0 | 0 | 31 |
| TOTAL INCOME | $26,040,526 | $30,881,297 | $0 | $0 | $56,921,823 |
| EXPENSES | | | | | |
| MEDICAL | $18,102,244 | $15,719,753 | $0 | $0 | $33,821,997 |
| RETIREES | 3,885,743 | 4,311,732 | 0 | 0 | 8,197,475 |
| STIPEND | 1,021,927 | 979,146 | 0 | 0 | 2,001,073 |
| A & S | 1,254,481 | 1,503,491 | 0 | 0 | 2,757,972 |
| DENTAL | 1,095,002 | 1,065,249 | 0 | 0 | 2,160,251 |
| DRUG | 6,392,369 | 4,711,847 | 0 | 0 | 11,104,216 |
| BEHAVIORAL HEALTH | 362,760 | 238,440 | 0 | 0 | 601,200 |
| OPTICAL | 156,738 | 159,396 | 0 | 0 | 316,134 |
| LIFE & AD & D | 38,929 | 38,743 | 0 | 0 | 77,672 |
| UM/DM | 206,325 | 206,262 | 0 | 0 | 412,587 |
| PRESCRIPTION CARE MNGMT | 32,603 | 32,118 | 0 | 0 | 64,721 |
| E.A.P. | 15,588 | 15,339 | 0 | 0 | 30,927 |
| P.P.O. | 302,074 | 284,697 | 0 | 0 | 586,771 |
| OPERATING EXPENSE | 1,037,577 | 993,850 | 0 | 0 | 2,031,427 |
| TOTAL EXPENSE | $33,904,360 | $30,260,063 | $0 | $0 | $64,164,423 |
| SURPLUS (DEFICIT) | **($7,863,834)** | **$621,234** | **$0** | **$0** | **($7,242,600)** |
| TOTAL PARTICIPANTS | 15,858 | 15,895 | 0 | 0 | 15,877 |
| FUND RESERVE | $26,480,029 | $25,532,305 | | | |

[1]LITIGATION SETTLEMENTS 1ST QTR:

$31 CITI GROUP

PLAN I
FULL TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $2,525,401 | $2,567,773 | $2,705,912 | $2,117,700 | $9,916,786 |
| EMPLOYER CONTR - RETIREE | 3,596,382 | 3,493,381 | 3,517,327 | 3,509,736 | 14,116,826 |
| MISC. INCOME-DENTAL | 0 | 0 | 0 | 0 | 0 |
| -RETIREE | 896,294 | 875,631 | 873,258 | 865,020 | 3,510,203 |
| -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 6,721 | 11,201 | 6,516 | 2,876 | 27,314 |
| -HMO COPAY | 8,821 | 11,026 | 9,216 | 10,387 | 39,450 |
| TOTAL | $7,033,619 | $6,959,012 | $7,112,229 | $6,505,719 | $27,610,579 |
| EXPENSES | | | | | |
| MEDICAL | $1,348,808 | $1,387,643 | $1,048,873 | $1,794,324 | $5,579,648 |
| RETIREE | 4,081,068 | 3,076,447 | 3,861,042 | 3,282,215 | 14,300,772 |
| A & S | 214,514 | 159,050 | 115,290 | 154,855 | 643,709 |
| DENTAL | 77,374 | 76,353 | 64,156 | 71,722 | 289,605 |
| DRUG | 734,244 | 478,191 | 536,468 | 440,933 | 2,189,836 |
| BEHAVIORAL HEALTH | 30,175 | 73,365 | 43,802 | 68,261 | 215,603 |
| OPTICAL | 10,171 | 10,064 | 9,768 | 9,513 | 39,516 |
| LIFE & AD & D | 5,735 | 5,676 | 5,566 | 5,381 | 22,358 |
| UM/DM | 12,683 | 12,527 | 11,404 | 11,637 | 48,251 |
| PRESCRIPTION CARE MNGMT | 0 | 655 | 1,918 | 1,848 | 4,421 |
| E.A.P. | 1,172 | 1,158 | 1,124 | 1,094 | 4,548 |
| P.P.O. | 16,844 | 16,533 | 15,773 | 15,563 | 64,713 |
| OPERATING EXPENSE | 34,389 | 41,066 | 33,132 | 33,263 | 141,850 |
| TOTAL EXPENSE | $6,567,177 | $5,338,728 | $5,748,316 | $5,890,609 | $23,544,830 |
| SURPLUS (DEFICIT) | **$466,442** | **$1,620,284** | **$1,363,913** | **$615,110** | **$4,065,749** |
| AVERAGE INCOME | $4,042 | $4,098 | $4,287 | $3,914 | $4,085 |
| AVERAGE EXPENSE | $3,774 | $3,144 | $3,465 | $3,544 | $3,482 |
| SURPLUS (DEFICIT) | $268 | $954 | $822 | $370 | $603 |
| TOTAL PARTICIPANTS | 580 | 566 | 553 | 554 | 563 |

PLAN I
PART TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $423,815 | $343,261 | $220,971 | $153,533 | $1,141,580 |
| EMPLOYER CONTR - RETIREE | 629,409 | 568,297 | 542,227 | 555,884 | 2,295,817 |
| MISC. INCOME-DENTAL | 0 | 0 | 0 | 0 | 0 |
| -RETIREE | 188,985 | 184,950 | 185,890 | 185,145 | 744,970 |
| -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 0 | 0 | 0 | 0 | 0 |
| -HMO COPAY | 0 | 0 | 0 | 0 | 0 |
| TOTAL | $1,242,209 | $1,096,508 | $949,088 | $894,562 | $4,182,367 |
| EXPENSES | | | | | |
| MEDICAL | $223,373 | $87,453 | $260,383 | $365,486 | $936,695 |
| RETIREE | 657,758 | 523,097 | 605,049 | 572,277 | 2,358,181 |
| A & S | 9,735 | 10,382 | 13,941 | 5,007 | 39,065 |
| DENTAL | 12,633 | 12,293 | 9,979 | 11,549 | 46,454 |
| DRUG | 61,341 | 31,376 | 52,173 | 42,360 | 187,250 |
| BEHAVIORAL HEALTH | 5,933 | 6,707 | 2,095 | 3,520 | 18,255 |
| OPTICAL | 1,737 | 1,705 | 1,596 | 1,569 | 6,607 |
| LIFE & AD & D | 416 | 415 | 394 | 381 | 1,606 |
| UM/DM | 1,876 | 1,835 | 2,173 | 1,776 | 7,660 |
| PRESCRIPTION CARE MNGMT | 0 | 94 | 282 | 283 | 659 |
| E.A.P. | 201 | 196 | 185 | 181 | 763 |
| P.P.O. | 2,851 | 2,826 | 2,788 | 2,631 | 11,096 |
| OPERATING EXPENSE | 6,242 | 7,234 | 5,616 | 5,565 | 24,657 |
| TOTAL EXPENSE | $984,096 | $685,613 | $956,654 | $1,012,585 | $3,638,948 |
| SURPLUS (DEFICIT) | **$258,113** | **$410,895** | **($7,566)** | **($118,023)** | **$543,419** |
| AVERAGE INCOME | $3,906 | $3,730 | $3,439 | $3,172 | $3,562 |
| AVERAGE EXPENSE | $3,095 | $2,332 | $3,466 | $3,591 | $3,121 |
| SURPLUS (DEFICIT) | $811 | $1,398 | ($27) | ($419) | $441 |
| TOTAL PARTICIPANTS | 106 | 98 | 92 | 94 | 98 |

PLAN X
FULL TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $10,473,534 | $10,420,388 | $10,520,103 | $7,590,170 | $39,004,195 |
| MISC. INCOME-LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 35,750 | 29,053 | 31,444 | 40,741 | 136,988 |
| -HMO COPAY | 88,194 | 93,080 | 78,388 | 73,610 | 333,272 |
| TOTAL INCOME | $10,597,478 | $10,542,521 | $10,629,935 | $7,704,521 | $39,474,455 |
| EXPENSES | | | | | |
| MEDICAL | $8,826,263 | $7,264,084 | $8,873,849 | $8,388,973 | $33,353,169 |
| A & S | 843,094 | 803,809 | 698,523 | 797,897 | 3,143,323 |
| DENTAL | 590,163 | 582,628 | 501,610 | 569,317 | 2,243,718 |
| DRUG | 2,842,090 | 2,069,985 | 3,055,920 | 2,646,536 | 10,614,531 |
| BEHAVIORAL HEALTH | 138,751 | 149,761 | 164,481 | 139,597 | 592,590 |
| OPTICAL | 64,702 | 64,004 | 63,276 | 62,672 | 254,654 |
| LIFE & AD & D | 19,149 | 19,025 | 18,806 | 18,615 | 75,595 |
| UM/DM | 103,626 | 103,172 | 100,372 | 100,496 | 407,666 |
| PRESCRIPTION CARE MNGMT | 0 | 5,498 | 16,287 | 16,023 | 37,808 |
| E.A.P. | 8,685 | 8,602 | 8,493 | 8,424 | 34,204 |
| P.P.O. | 118,463 | 118,025 | 114,489 | 114,705 | 465,682 |
| OPERATING EXPENSE | 256,956 | 310,410 | 252,645 | 256,585 | 1,076,596 |
| TOTAL EXPENSE | $13,811,942 | $11,499,003 | $13,868,751 | $13,119,840 | $52,299,536 |
| SURPLUS (DEFICIT) | **($3,214,464)** | **($956,482)** | **($3,238,816)** | **($5,415,319)** | **($12,825,081)** |
| AVERAGE INCOME | $804 | $815 | $827 | $599 | $761 |
| AVERAGE EXPENSE | $1,048 | $889 | $1,080 | $1,020 | $1,009 |
| SURPLUS (DEFICIT) | ($244) | ($74) | ($253) | ($421) | ($248) |
| TOTAL PARTICIPANTS | 4,395 | 4,310 | 4,282 | 4,286 | 4,318 |

PLAN X
PART TIME
2020

INDIVIDUAL
QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $5,742,567 | $5,360,572 | $5,259,183 | $3,800,881 | $20,163,203 |
| MISC. INCOME-LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 30,752 | 37,014 | 43,273 | 53,802 | 164,841 |
| -HMO COPAY | 13,309 | 12,704 | 12,776 | 9,907 | 48,696 |
| TOTAL INCOME | $5,786,628 | $5,410,290 | $5,315,232 | $3,864,590 | $20,376,740 |
| EXPENSES | | | | | |
| MEDICAL | $2,835,289 | $3,057,858 | $1,929,782 | $3,233,193 | $11,056,122 |
| A & S | 161,845 | 180,744 | 200,130 | 202,882 | 745,601 |
| DENTAL | 221,488 | 215,767 | 165,123 | 202,060 | 804,438 |
| DRUG | 961,376 | 720,375 | 1,024,183 | 1,173,467 | 3,879,401 |
| BEHAVIORAL HEALTH | 46,784 | 25,169 | 35,455 | 26,640 | 134,048 |
| OPTICAL | 37,769 | 36,793 | 35,200 | 34,458 | 144,220 |
| LIFE & AD & D | 7,428 | 7,265 | 6,975 | 6,775 | 28,443 |
| UM/DM | 30,806 | 30,377 | 28,811 | 28,635 | 118,629 |
| PRESCRIPTION CARE MNGMT | 0 | 1,563 | 4,613 | 4,527 | 10,703 |
| E.A.P. | 5,124 | 4,975 | 4,762 | 4,663 | 19,524 |
| P.P.O. | 65,755 | 64,102 | 59,805 | 58,386 | 248,048 |
| OPERATING EXPENSES | 159,341 | 186,866 | 148,719 | 150,465 | 645,391 |
| TOTAL EXPENSE | $4,533,005 | $4,531,854 | $3,643,558 | $5,126,151 | $17,834,568 |
| SURPLUS (DEFICIT) | **$1,253,623** | **$878,436** | **$1,671,674** | **($1,261,561)** | **$2,542,172** |
| AVERAGE INCOME | $715 | $711 | $704 | $521 | $663 |
| AVERAGE EXPENSE | $560 | $596 | $482 | $691 | $582 |
| SURPLUS (DEFICIT) | $155 | $115 | $222 | ($170) | $81 |
| TOTAL PARTICIPANTS | 2,699 | 2,535 | 2,518 | 2,474 | 2,557 |

PLAN X
PART TIME
2020

DEPENDENT
QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $3,319,396 | $3,177,544 | $3,273,083 | $2,358,751 | $12,128,774 |
| MISC. INCOME -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 0 | 0 | 0 | 0 | 0 |
| -HMO COPAY | 20,349 | 24,933 | 21,934 | 18,908 | 86,124 |
| TOTAL INCOME | $3,339,745 | $3,202,477 | $3,295,017 | $2,377,659 | $12,214,898 |
| EXPENSES | | | | | |
| MEDICAL | $2,376,707 | $2,041,273 | $2,096,803 | $1,961,902 | $8,476,685 |
| A & S | 77,091 | 84,398 | 76,498 | 46,199 | 284,186 |
| DENTAL | 141,034 | 137,926 | 120,596 | 132,309 | 531,865 |
| DRUG | 926,002 | 533,021 | 716,695 | 623,457 | 2,799,175 |
| BEHAVIORAL HEALTH | 12,630 | 16,812 | 13,945 | 13,648 | 57,035 |
| OPTICAL | 11,682 | 11,424 | 11,043 | 10,979 | 45,128 |
| LIFE & AD & D | 2,272 | 2,208 | 2,130 | 2,091 | 8,701 |
| UM/DM | 26,073 | 25,735 | 24,775 | 24,770 | 101,353 |
| PRESCRIPTION CARE MNGMT | 0 | 1,353 | 4,011 | 3,966 | 9,330 |
| E.A.P. | 1,565 | 1,528 | 1,481 | 1,464 | 6,038 |
| P.P.O. | 21,680 | 20,921 | 19,763 | 19,753 | 82,117 |
| OPERATING EXPENSE | 46,594 | 55,335 | 43,872 | 44,135 | 189,936 |
| TOTAL EXPENSE | $3,643,330 | $2,931,934 | $3,131,612 | $2,884,673 | $12,591,549 |
| SURPLUS (DEFICIT) | **($303,585)** | **$270,543** | **$163,405** | **($507,014)** | **($376,651)** |
| AVERAGE INCOME | $1,393 | $1,421 | $1,478 | $1,080 | $1,343 |
| AVERAGE EXPENSE | $1,520 | $1,301 | $1,405 | $1,310 | $1,384 |
| SURPLUS (DEFICIT) | ($127) | $120 | $73 | ($230) | ($41) |
| TOTAL PARTICIPANTS | 799 | 751 | 743 | 734 | 757 |

PLAN XX
FULL TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $512,356 | $548,065 | $581,951 | $450,676 | $2,093,048 |
| MISC. INCOME -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 684 | 684 | 1,367 | 656 | 3,391 |
| -HMO COPAY | 97 | 325 | 65 | 32 | 519 |
| TOTAL INCOME | $513,137 | $549,074 | $583,383 | $451,364 | $2,096,958 |
| EXPENSES | | | | | |
| MEDICAL | $499,900 | $305,433 | $242,934 | $575,403 | $1,623,670 |
| A & S | 31,839 | 17,626 | 24,376 | 44,033 | 117,874 |
| DENTAL | 29,205 | 29,781 | 21,947 | 29,646 | 110,579 |
| DRUG | 38,835 | 24,383 | 68,904 | 75,972 | 208,094 |
| BEHAVIORAL HEALTH | 4,179 | 4,472 | 4,786 | 4,748 | 18,185 |
| OPTICAL | 6,499 | 6,609 | 6,604 | 6,554 | 26,266 |
| LIFE & AD & D | 1,315 | 1,350 | 1,359 | 1,351 | 5,375 |
| UM/DM | 8,104 | 8,267 | 8,152 | 8,258 | 32,781 |
| PRESCRIPTION CARE MNGMT | 0 | 440 | 1,322 | 1,307 | 3,069 |
| P.P.O. | 11,383 | 11,972 | 12,128 | 12,328 | 47,811 |
| OPERATING EXPENSE | 29,976 | 36,470 | 30,638 | 30,732 | 127,816 |
| TOTAL EXPENSE | $661,235 | $446,803 | $423,150 | $790,332 | $2,321,520 |
| SURPLUS (DEFICIT) | **($148,098)** | **$102,271** | **$160,233** | **($338,968)** | **($224,562)** |
| AVERAGE INCOME | $335 | $347 | $370 | $305 | $339 |
| AVERAGE EXPENSE | $431 | $282 | $269 | $534 | $379 |
| SURPLUS (DEFICIT) | ($96) | $65 | $101 | ($229) | ($40) |
| TOTAL PARTICIPANTS | 511 | 528 | 525 | 493 | 514 |

PLAN XX
PART TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $409,218 | $431,683 | $523,393 | $396,984 | $1,761,278 |
| MISC. INCOME -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 1,124 | 562 | 1,641 | 1,915 | 5,242 |
| -HMO COPAY | 1,394 | 1,498 | 821 | 1,514 | 5,227 |
| TOTAL INCOME | $411,736 | $433,743 | $525,855 | $400,413 | $1,771,747 |
| EXPENSES | | | | | |
| MEDICAL | $246,578 | $348,736 | $394,415 | $358,741 | $1,348,470 |
| A & S | 4,796 | 15,036 | 4,648 | 12,045 | 36,525 |
| DENTAL | 34,683 | 33,520 | 21,308 | 30,674 | 120,185 |
| DRUG | 165,551 | 147,068 | 141,023 | 117,865 | 571,507 |
| BEHAVIORAL HEALTH | 5,541 | 6,782 | 5,672 | 5,350 | 23,345 |
| OPTICAL | 9,585 | 9,241 | 8,723 | 8,529 | 36,078 |
| LIFE & AD & D | 977 | 951 | 912 | 873 | 3,713 |
| UM/DM | 8,227 | 8,065 | 7,508 | 7,415 | 31,215 |
| PRESCRIPTION CARE MNGMT | 0 | 409 | 1,210 | 1,178 | 2,797 |
| P.P.O. | 14,841 | 14,639 | 13,832 | 13,398 | 56,710 |
| OPERATING EXPENSE | 61,985 | 73,898 | 55,818 | 56,588 | 248,289 |
| TOTAL EXPENSE | $552,764 | $658,345 | $655,069 | $612,656 | $2,478,834 |
| SURPLUS (DEFICIT) | **($141,028)** | **($224,602)** | **($129,214)** | **($212,243)** | **($707,087)** |
| AVERAGE INCOME | $132 | $150 | $183 | $142 | $152 |
| AVERAGE EXPENSE | $178 | $228 | $228 | $217 | $213 |
| SURPLUS (DEFICIT) | ($46) | ($78) | ($45) | ($75) | ($61) |
| TOTAL PARTICIPANTS | 1,038 | 963 | 956 | 939 | 974 |

PLAN XXX
FULL TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $1,333,657 | $1,150,871 | $723,633 | $626,471 | $3,834,632 |
| MISC. INCOME -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 3,171 | 668 | 995 | 2,213 | 7,047 |
| -HMO COPAY | 1,384 | 0 | 0 | 2,497 | 3,881 |
| TOTAL INCOME | $1,338,212 | $1,151,539 | $724,628 | $631,181 | $3,845,560 |
| EXPENSES | | | | | |
| MEDICAL | $290,155 | $218,245 | $352,841 | $419,642 | $1,280,883 |
| A & S | 13,702 | 32,725 | 17,417 | 27,723 | 91,567 |
| DENTAL | 30,769 | 32,396 | 26,021 | 37,204 | 126,390 |
| DRUG | 113,983 | 111,459 | 135,353 | 169,799 | 530,594 |
| BEHAVIORAL HEALTH | 4,549 | 4,799 | 9,298 | 4,856 | 23,502 |
| OPTICAL | 6,638 | 7,010 | 7,436 | 7,975 | 29,059 |
| LIFE & AD & D | 1,306 | 1,427 | 1,530 | 1,601 | 5,864 |
| UM/DM | 9,077 | 9,522 | 10,196 | 10,876 | 39,671 |
| PRESCRIPTION CARE MNGMT | 0 | 511 | 1,606 | 1,712 | 3,829 |
| P.P.O. | 11,902 | 13,195 | 13,941 | 14,636 | 53,674 |
| OPERATING EXPENSE | 42,023 | 54,776 | 48,215 | 54,792 | 199,806 |
| TOTAL EXPENSE | $524,104 | $486,065 | $623,854 | $750,816 | $2,384,839 |
| SURPLUS (DEFICIT) | **$814,108** | **$665,474** | **$100,774** | **($119,635)** | **$1,460,721** |
| AVERAGE INCOME | $596 | $484 | $275 | $214 | $392 |
| AVERAGE EXPENSE | $233 | $204 | $237 | $255 | $232 |
| SURPLUS (DEFICIT) | $363 | $280 | $38 | ($41) | $160 |
| TOTAL PARTICIPANTS | 749 | 793 | 877 | 981 | 850 |

PLAN XXX
PART TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $1,162,541 | $984,186 | $863,933 | $711,272 | $3,721,932 |
| MISC. INCOME -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 7,263 | 8,803 | 8,997 | 11,569 | 36,632 |
| -HMO COPAY | 0 | 570 | 1,302 | 1,120 | 2,992 |
| TOTAL INCOME | $1,169,804 | $993,559 | $874,232 | $723,961 | $3,761,556 |
| EXPENSES | | | | | |
| MEDICAL | $316,754 | $616,808 | $1,192,298 | $918,040 | $3,043,900 |
| A & S | 4,896 | 15,779 | 14,984 | 17,727 | 53,386 |
| DENTAL | 36,527 | 37,712 | 26,276 | 39,028 | 139,543 |
| DRUG | 99,997 | 104,102 | 162,617 | 205,430 | 572,146 |
| BEHAVIORAL HEALTH | 11,183 | 11,699 | 8,916 | 19,097 | 50,895 |
| OPTICAL | 10,033 | 10,355 | 10,662 | 10,780 | 41,830 |
| LIFE & AD & D | 1,247 | 1,330 | 1,295 | 1,301 | 5,173 |
| UM/DM | 12,088 | 12,109 | 11,996 | 12,428 | 48,621 |
| PRESCRIPTION CARE MNGMT | 0 | 622 | 1,924 | 1,960 | 4,506 |
| P.P.O. | 24,283 | 25,313 | 24,939 | 25,287 | 99,822 |
| OPERATING EXPENSE | 141,132 | 198,481 | 156,057 | 167,256 | 662,926 |
| TOTAL EXPENSE | $658,140 | $1,034,310 | $1,611,964 | $1,418,334 | $4,722,748 |
| SURPLUS (DEFICIT) | **$511,664** | **($40,751)** | **($737,732)** | **($694,373)** | **($961,192)** |
| AVERAGE INCOME | $132 | $126 | $106 | $84 | $112 |
| AVERAGE EXPENSE | $74 | $131 | $196 | $164 | $141 |
| SURPLUS (DEFICIT) | $58 | ($5) | ($90) | ($80) | ($29) |
| TOTAL PARTICIPANTS | 2,964 | 2,629 | 2,741 | 2,878 | 2,803 |

PLAN XL
PART TIME
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $129,984 | $143,635 | $149,393 | $122,670 | $545,682 |
| MISC. INCOME -LOA | 0 | 0 | 0 | 0 | 0 |
| -COBRA | 0 | 0 | 99 | 69 | 168 |
| TOTAL INCOME | $129,984 | $143,635 | $149,492 | $122,739 | $545,850 |
| EXPENSES | | | | | |
| A & S | $2,673 | $2,360 | 889 | 2,457 | $8,379 |
| DENTAL | 18,223 | 18,410 | 11,958 | 18,085 | 66,676 |
| OPTICAL | 5,256 | 5,326 | 5,242 | 5,247 | 21,071 |
| LIFE & AD & D | 667 | 702 | 703 | 675 | 2,747 |
| OPERATING EXPENSE | 122,973 | 133,558 | 120,499 | 132,330 | 509,360 |
| TOTAL EXPENSE | $149,792 | $160,356 | $139,291 | $158,794 | $608,233 |
| SURPLUS (DEFICIT) | **($19,808)** | **($16,721)** | **$10,201** | **($36,055)** | **($62,383)** |
| AVERAGE INCOME | $24 | $24 | $23 | $18 | $22 |
| AVERAGE EXPENSE | $28 | $26 | $21 | $23 | $25 |
| SURPLUS (DEFICIT) | ($4) | ($2) | $2 | ($5) | ($3) |
| TOTAL PARTICIPANTS | 1,804 | 2,029 | 2,189 | 2,306 | 2,082 |

COMBINED FUND
2020

QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $26,032,469 | $25,127,978 | $24,821,555 | $18,329,108 | $94,311,110 |
| EMPLOYER CONTR - RETIREE | 4,225,791 | 4,061,678 | 4,059,554 | 4,065,620 | 16,412,643 |
| EMPLOYEE CONTRIBUTIONS | 1,304,292 | 1,292,702 | 1,277,982 | 1,281,981 | 5,156,957 |
| INTEREST & DIVIDENDS - ACTIVE | 125,883 | 190,608 | 132,076 | 131,986 | 580,553 |
| INTEREST & DIVIDENDS - RETIREE | 28,371 | 43,859 | 29,759 | 29,015 | 131,004 |
| STIPEND | 1,200,856 | 1,163,185 | 1,119,766 | 1,084,602 | 4,568,409 |
| MISCELLANEOUS[1] | 147 | 2,486 | 0 | 102,077 | 104,710 |
| TOTAL INCOME | $32,917,809 | $31,882,496 | $31,440,692 | $25,024,389 | $121,265,386 |
| EXPENSES | | | | | |
| MEDICAL | $16,963,827 | $15,327,533 | $16,392,178 | $18,015,704 | $66,699,242 |
| RETIREES | 4,738,826 | 3,599,544 | 4,466,091 | 3,854,492 | 16,658,953 |
| STIPEND | 1,200,856 | 1,163,185 | 1,119,766 | 1,084,602 | 4,568,409 |
| A & S | 1,364,185 | 1,321,909 | 1,166,696 | 1,310,825 | 5,163,615 |
| DENTAL | 1,192,099 | 1,176,786 | 968,974 | 1,141,594 | 4,479,453 |
| DRUG | 5,943,419 | 4,219,960 | 5,893,336 | 5,495,819 | 21,552,534 |
| BEHAVIORAL HEALTH | 259,725 | 299,566 | 288,450 | 285,717 | 1,133,458 |
| OPTICAL | 164,072 | 162,531 | 159,550 | 158,276 | 644,429 |
| LIFE & AD & D | 40,512 | 40,349 | 39,670 | 39,044 | 159,575 |
| UM/DM | 212,560 | 211,609 | 205,387 | 206,291 | 835,847 |
| PRESCRIPTION CARE MNGMT | 0 | 11,145 | 33,173 | 32,804 | 77,122 |
| E.A.P. | 16,747 | 16,459 | 16,045 | 15,826 | 65,077 |
| P.P.O. | 288,002 | 287,526 | 277,458 | 276,687 | 1,129,673 |
| OPERATING EXPENSE | 901,611 | 1,098,094 | 895,211 | 931,711 | 3,826,627 |
| TOTAL EXPENSE | $33,286,441 | $28,936,196 | $31,921,985 | $32,849,392 | $126,994,014 |
| SURPLUS (DEFICIT) | **($368,632)** | **$2,946,300** | **($481,293)** | **($7,825,003)** | **($5,728,628)** |
| TOTAL PARTICIPANTS | 15,645 | 15,202 | 15,476 | 15,739 | 15,516 |
| FUND RESERVE | $33,501,442 | $32,398,796 | $41,245,219 | $39,737,569 | |

[1]LITIGATION SETTLEMENTS 4TH QTR:

| | |
|---|---|
| $101,990 | MADOFF FUND |
| 87 | VISIUM FAIR FUND |
| $102,077 | |

**PROPRIETARY INFORMATION: THIS REPORT BELONGS TO FELRA AND UFCW VEBA FUND**

PLAN I
FULL TIME
2021

QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $1,221,714 | $2,411,061 | | | $3,632,775 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 4,314 | 4,314 | | | 8,628 |
| -HMO COPAY | 7,079 | 14,391 | | | 21,470 |
| TOTAL | $1,233,107 | $2,429,766 | $0 | $0 | $3,662,873 |
| EXPENSES | | | | | |
| MEDICAL | $1,408,162 | $1,379,593 | | | $2,787,755 |
| A & S | 140,586 | 205,778 | | | 346,364 |
| DENTAL | 67,620 | 64,675 | | | 132,295 |
| DRUG | 489,609 | 387,553 | | | 877,162 |
| BEHAVIORAL HEALTH | 88,719 | 54,733 | | | 143,452 |
| OPTICAL | 9,342 | 9,084 | | | 18,426 |
| LIFE & AD & D | 5,274 | 5,151 | | | 10,425 |
| UM/DM | 11,276 | 11,200 | | | 22,476 |
| PRESCRIPTION CARE MNGMT | 1,774 | 1,736 | | | 3,510 |
| E.A.P. | 1,072 | 1,046 | | | 2,118 |
| P.P.O. | 16,703 | 15,425 | | | 32,128 |
| OPERATING EXPENSE | 36,165 | 33,400 | | | 69,565 |
| TOTAL EXPENSE | $2,276,302 | $2,169,374 | $0 | $0 | $4,445,676 |
| SURPLUS (DEFICIT) | **($1,043,195)** | **$260,392** | **$0** | **$0** | **($782,803)** |
| AVERAGE INCOME | $771 | $1,549 | | | $1,160 |
| AVERAGE EXPENSE | $1,424 | $1,383 | | | $1,404 |
| SURPLUS (DEFICIT) | ($653) | $166 | $0 | $0 | ($244) |
| TOTAL PARTICIPANTS | 533 | 523 | | | 528 |

PLAN I
PART TIME
2021

QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $109,815 | $231,102 | | | $340,917 |
| MISC. INCOME - LOA | 0 | 0 | | | 0 |
| -COBRA | 0 | 0 | | | 0 |
| -HMO COPAY | 0 | 0 | | | 0 |
| | | | | | |
| TOTAL | $109,815 | $231,102 | $0 | $0 | $340,917 |
| | | | | | |
| EXPENSES | | | | | |
| MEDICAL | $209,371 | $167,179 | | | $376,550 |
| A & S | 8,741 | 12,594 | | | 21,335 |
| DENTAL | 10,707 | 10,223 | | | 20,930 |
| DRUG | 47,925 | 41,246 | | | 89,171 |
| BEHAVIORAL HEALTH | 3,313 | 2,940 | | | 6,253 |
| OPTICAL | 1,412 | 1,524 | | | 2,936 |
| LIFE & AD & D | 365 | 354 | | | 719 |
| UM/DM | 1,673 | 1,684 | | | 3,357 |
| PRESCRIPTION CARE MNGMT | 265 | 263 | | | 528 |
| E.A.P. | 172 | 169 | | | 341 |
| P.P.O. | 2,746 | 2,477 | | | 5,223 |
| OPERATING EXPENSE | 6,033 | 5,506 | | | 11,539 |
| | | | | | |
| TOTAL EXPENSE | $292,723 | $246,159 | $0 | $0 | $538,882 |
| | | | | | |
| SURPLUS (DEFICIT) | **($182,908)** | **($15,057)** | **$0** | **$0** | **($197,965)** |
| | | | | | |
| AVERAGE INCOME | $407 | $906 | | | $657 |
| AVERAGE EXPENSE | $1,084 | $965 | | | $1,025 |
| SURPLUS (DEFICIT) | ($677) | ($59) | $0 | $0 | ($368) |
| | | | | | |
| TOTAL PARTICIPANTS | 90 | 85 | | 0 | 88 |

PLAN X
FULL TIME
2021

QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $8,271,146 | $10,521,871 | | | $18,793,017 |
| MISC. INCOME-LOA | 0 | 0 | | | 0 |
| -COBRA | 28,826 | 23,161 | | | 51,987 |
| -HMO COPAY | 73,649 | 60,803 | | | 134,452 |
| | | | | | |
| TOTAL INCOME | $8,373,621 | $10,605,835 | $0 | $0 | $18,979,456 |
| | | | | | |
| EXPENSES | | | | | |
| MEDICAL | $9,888,796 | $7,507,645 | | | $17,396,441 |
| A & S | 758,138 | 868,937 | | | 1,627,075 |
| DENTAL | 540,745 | 523,514 | | | 1,064,259 |
| DRUG | 3,144,637 | 2,155,783 | | | 5,300,420 |
| BEHAVIORAL HEALTH | 162,940 | 106,281 | | | 269,221 |
| OPTICAL | 62,132 | 61,267 | | | 123,399 |
| LIFE & AD & D | 18,402 | 18,223 | | | 36,625 |
| UM/DM | 98,052 | 98,186 | | | 196,238 |
| PRESCRIPTION CARE MNGMT | 15,522 | 15,315 | | | 30,837 |
| E.A.P. | 8,354 | 8,249 | | | 16,603 |
| P.P.O. | 124,415 | 115,228 | | | 239,643 |
| OPERATING EXPENSE | 279,752 | 261,121 | | | 540,873 |
| | | | | | |
| TOTAL EXPENSE | $15,101,885 | $11,739,749 | $0 | $0 | $26,841,634 |
| | | | | | |
| SURPLUS (DEFICIT) | **($6,728,264)** | **($1,133,914)** | **$0** | **$0** | **($7,862,178)** |
| | | | | | |
| AVERAGE INCOME | $667 | $856 | | | $762 |
| AVERAGE EXPENSE | $1,203 | $948 | | | $1,076 |
| SURPLUS (DEFICIT) | ($536) | ($92) | $0 | $0 | ($314) |
| | | | | | |
| TOTAL PARTICIPANTS | 4,184 | 4,128 | | | 4,156 |

PLAN X
PART TIME
2021

INDIVIDUAL
QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $4,476,695 | $5,096,595 | | | $9,573,290 |
| MISC. INCOME-LOA | 0 | 0 | | | 0 |
| -COBRA | 39,198 | 32,511 | | | 71,709 |
| -HMO COPAY | 15,426 | 17,302 | | | 32,728 |
| TOTAL INCOME | $4,531,319 | $5,146,408 | $0 | $0 | $9,677,727 |
| EXPENSES | | | | | |
| MEDICAL | $2,755,797 | $2,746,624 | | | $5,502,421 |
| A & S | 197,775 | 237,804 | | | 435,579 |
| DENTAL | 193,049 | 189,811 | | | 382,860 |
| DRUG | 1,324,898 | 1,108,831 | | | 2,433,729 |
| BEHAVIORAL HEALTH | 65,723 | 31,618 | | | 97,341 |
| OPTICAL | 32,917 | 34,091 | | | 67,008 |
| LIFE & AD & D | 6,659 | 6,523 | | | 13,182 |
| UM/DM | 28,270 | 27,993 | | | 56,263 |
| PRESCRIPTION CARE MNGMT | 4,427 | 4,324 | | | 8,751 |
| E.A.P. | 4,571 | 4,477 | | | 9,048 |
| P.P.O. | 63,520 | 58,041 | | | 121,561 |
| OPERATING EXPENSES | 161,078 | 151,313 | | | 312,391 |
| TOTAL EXPENSE | $4,838,684 | $4,601,450 | $0 | $0 | $9,440,134 |
| SURPLUS (DEFICIT) | **($307,365)** | **$544,958** | **$0** | **$0** | **$237,593** |
| AVERAGE INCOME | $628 | $707 | | | $668 |
| AVERAGE EXPENSE | $671 | $632 | | | $652 |
| SURPLUS (DEFICIT) | ($43) | $75 | $0 | $0 | $16 |
| TOTAL PARTICIPANTS | 2,404 | 2,428 | | | 2,416 |

PLAN X
PART TIME
2021

DEPENDENT
QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $2,818,651 | $3,034,154 | | | $5,852,805 |
| MISC. INCOME -LOA | 0 | 0 | | | 0 |
| -COBRA | 0 | 0 | | | 0 |
| -HMO COPAY | 17,587 | 17,586 | | | 35,173 |
| TOTAL INCOME | $2,836,238 | $3,051,740 | $0 | $0 | $5,887,978 |
| EXPENSES | | | | | |
| MEDICAL | $2,037,692 | $1,962,773 | | | $4,000,465 |
| A & S | 74,565 | 93,009 | | | 167,574 |
| DENTAL | 124,055 | 117,308 | | | 241,363 |
| DRUG | 789,680 | 529,454 | | | 1,319,134 |
| BEHAVIORAL HEALTH | 10,611 | 14,865 | | | 25,476 |
| OPTICAL | 10,222 | 10,806 | | | 21,028 |
| LIFE & AD & D | 2,040 | 2,011 | | | 4,051 |
| UM/DM | 23,957 | 23,764 | | | 47,721 |
| PRESCRIPTION CARE MNGMT | 3,788 | 3,704 | | | 7,492 |
| E.A.P. | 1,419 | 1,398 | | | 2,817 |
| P.P.O. | 21,090 | 19,282 | | | 40,372 |
| OPERATING EXPENSE | 47,269 | 43,604 | | | 90,873 |
| TOTAL EXPENSE | $3,146,388 | $2,821,978 | $0 | $0 | $5,968,366 |
| **SURPLUS (DEFICIT)** | **($310,150)** | **$229,762** | **$0** | **$0** | **($80,388)** |
| AVERAGE INCOME | $1,351 | $1,492 | | | $1,422 |
| AVERAGE EXPENSE | $1,498 | $1,379 | | | $1,439 |
| SURPLUS (DEFICIT) | ($147) | $113 | $0 | $0 | ($17) |
| TOTAL PARTICIPANTS | 700 | 682 | | | 691 |

PLAN XX
FULL TIME
2021

QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $512,779 | $577,496 | | | $1,090,275 |
| MISC. INCOME -LOA | 0 | 0 | | | 0 |
| -HMO COPAY | 1,359 | 340 | | | 1,699 |
| -COBRA | 0 | 0 | | | 0 |
| TOTAL INCOME | $514,138 | $577,836 | $0 | $0 | $1,091,974 |
| EXPENSES | | | | | |
| MEDICAL | $283,334 | $487,610 | | | $770,944 |
| A & S | 17,027 | 29,004 | | | 46,031 |
| DENTAL | 29,292 | 28,559 | | | 57,851 |
| DRUG | 42,520 | 40,459 | | | 82,979 |
| BEHAVIORAL HEALTH | 4,504 | 4,565 | | | 9,069 |
| OPTICAL | 6,796 | 6,682 | | | 13,478 |
| LIFE & AD & D | 1,363 | 1,390 | | | 2,753 |
| UM/DM | 8,406 | 8,336 | | | 16,742 |
| PRESCRIPTION CARE MNGMT | 1,331 | 1,300 | | | 2,631 |
| P.P.O. | 12,960 | 12,710 | | | 25,670 |
| OPERATING EXPENSE | 32,822 | 32,165 | | | 64,987 |
| TOTAL EXPENSE | $440,355 | $652,780 | $0 | $0 | $1,093,135 |
| SURPLUS (DEFICIT) | **$73,783** | **($74,944)** | **$0** | **$0** | **($1,161)** |
| AVERAGE INCOME | $329 | $378 | | | $354 |
| AVERAGE EXPENSE | $282 | $427 | | | $355 |
| SURPLUS (DEFICIT) | $47 | ($49) | $0 | $0 | ($1) |
| TOTAL PARTICIPANTS | 521 | 510 | | | 516 |

PLAN XX
PART TIME
2021

QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $427,769 | $441,269 | | | $869,038 |
| MISC. INCOME -LOA | 0 | 0 | | | 0 |
| -HMO COPAY | 1,300 | 1,081 | | | 2,381 |
| -COBRA | 1,668 | 1,680 | | | 3,348 |
| TOTAL INCOME | $430,737 | $444,030 | $0 | $0 | $874,767 |
| EXPENSES | | | | | |
| MEDICAL | $339,489 | $478,515 | | | $818,004 |
| A & S | 12,136 | 12,882 | | | 25,018 |
| DENTAL | 29,627 | 28,187 | | | 57,814 |
| DRUG | 115,786 | 140,428 | | | 256,214 |
| BEHAVIORAL HEALTH | 5,114 | 4,296 | | | 9,410 |
| OPTICAL | 7,801 | 8,861 | | | 16,662 |
| LIFE & AD & D | 871 | 846 | | | 1,717 |
| UM/DM | 7,368 | 7,197 | | | 14,565 |
| PRESCRIPTION CARE MNGMT | 1,162 | 1,109 | | | 2,271 |
| P.P.O. | 13,856 | 13,009 | | | 26,865 |
| OPERATING EXPENSE | 59,918 | 52,667 | | | 112,585 |
| TOTAL EXPENSE | $593,128 | $747,997 | $0 | $0 | $1,341,125 |
| SURPLUS (DEFICIT) | **($162,391)** | **($303,967)** | **$0** | **$0** | **($466,358)** |
| AVERAGE INCOME | $163 | $193 | | | $178 |
| AVERAGE EXPENSE | $224 | $325 | | | $275 |
| SURPLUS (DEFICIT) | ($61) | ($132) | $0 | $0 | ($97) |
| TOTAL PARTICIPANTS | 882 | 766 | | | 824 |

PLAN XXX
FULL TIME
2021

QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $784,188 | $913,654 | | | $1,697,842 |
| MISC. INCOME -LOA | 0 | 0 | | | 0 |
| -COBRA | 401 | 327 | | | 728 |
| -HMO COPAY | 2,020 | 2,139 | | | 4,159 |
| TOTAL INCOME | $786,609 | $916,120 | $0 | $0 | $1,702,729 |
| EXPENSES | | | | | |
| MEDICAL | $569,975 | $433,123 | | | $1,003,098 |
| A & S | 35,135 | 33,438 | | | 68,573 |
| DENTAL | 41,855 | 43,363 | | | 85,218 |
| DRUG | 238,279 | 215,120 | | | 453,399 |
| BEHAVIORAL HEALTH | 8,582 | 8,813 | | | 17,395 |
| OPTICAL | 9,326 | 9,751 | | | 19,077 |
| LIFE & AD & D | 1,832 | 2,015 | | | 3,847 |
| UM/DM | 12,848 | 13,221 | | | 26,069 |
| PRESCRIPTION CARE MNGMT | 2,040 | 2,084 | | | 4,124 |
| P.P.O. | 17,950 | 19,029 | | | 36,979 |
| OPERATING EXPENSE | 66,664 | 67,852 | | | 134,516 |
| TOTAL EXPENSE | $1,004,486 | $847,809 | $0 | $0 | $1,852,295 |
| SURPLUS (DEFICIT) | **($217,877)** | **$68,311** | **$0** | **$0** | **($149,566)** |
| AVERAGE INCOME | $246 | $274 | | | $260 |
| AVERAGE EXPENSE | $314 | $253 | | | $284 |
| SURPLUS (DEFICIT) | ($68) | $21 | $0 | $0 | ($24) |
| TOTAL PARTICIPANTS | 1,066 | 1,115 | | | 1,091 |

PLAN XXX
PART TIME
2021

QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $872,365 | $1,177,353 | | | $2,049,718 |
| MISC. INCOME -LOA | 0 | 0 | | | 0 |
| -COBRA | 13,330 | 10,824 | | | 24,154 |
| -HMO COPAY | 3,291 | 2,575 | | | 5,866 |
| TOTAL INCOME | $888,986 | $1,190,752 | $0 | $0 | $2,079,738 |
| EXPENSES | | | | | |
| MEDICAL | $609,628 | $556,691 | | | $1,166,319 |
| A & S | 7,951 | 7,457 | | | 15,408 |
| DENTAL | 41,170 | 42,442 | | | 83,612 |
| DRUG | 199,035 | 92,973 | | | 292,008 |
| BEHAVIORAL HEALTH | 13,254 | 10,329 | | | 23,583 |
| OPTICAL | 11,736 | 12,206 | | | 23,942 |
| LIFE & AD & D | 1,447 | 1,567 | | | 3,014 |
| UM/DM | 14,475 | 14,681 | | | 29,156 |
| PRESCRIPTION CARE MNGMT | 2,294 | 2,283 | | | 4,577 |
| P.P.O. | 28,834 | 29,496 | | | 58,330 |
| OPERATING EXPENSE | 197,463 | 200,064 | | | 397,527 |
| TOTAL EXPENSE | $1,127,287 | $970,189 | $0 | $0 | $2,097,476 |
| SURPLUS (DEFICIT) | **($238,301)** | **$220,563** | **$0** | **$0** | **($17,738)** |
| AVERAGE INCOME | $93 | $126 | | | $110 |
| AVERAGE EXPENSE | $117 | $103 | | | $110 |
| SURPLUS (DEFICIT) | ($24) | $23 | $0 | $0 | $0 |
| TOTAL PARTICIPANTS | 3,198 | 3,139 | | | 3,169 |

PLAN XL
PART TIME
2021
QUARTERLY RECAPS - ACTIVE

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $138,208 | $179,199 | | | $317,407 |
| MISC. INCOME -LOA | 0 | 0 | | | 0 |
| -COBRA | 69 | 69 | | | 138 |
| TOTAL INCOME | $138,277 | $179,268 | $0 | $0 | $317,545 |
| EXPENSES | | | | | |
| A & S | $2,427 | $2,588 | | | $5,015 |
| DENTAL | 16,882 | 17,167 | | | 34,049 |
| OPTICAL | 5,054 | 5,124 | | | 10,178 |
| LIFE & AD & D | 676 | 663 | | | 1,339 |
| OPERATING EXPENSE | 150,413 | 146,158 | | | 296,571 |
| TOTAL EXPENSE | $175,452 | $171,700 | $0 | $0 | $347,152 |
| SURPLUS (DEFICIT) | **($37,175)** | **$7,568** | **$0** | **$0** | **($29,607)** |
| AVERAGE INCOME | $20 | $24 | | | $22 |
| AVERAGE EXPENSE | $26 | $23 | | | $25 |
| SURPLUS (DEFICIT) | ($6) | $1 | $0 | $0 | ($3) |
| TOTAL PARTICIPANTS | 2,280 | 2,519 | | | 2,400 |

ACTIVE PLANS
2021

QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTR - ACTIVE | $19,633,330 | $24,583,754 | $0 | $0 | $44,217,084 |
| EMPLOYEE CONTRIBUTIONS | 209,517 | 189,103 | 0 | 0 | 398,620 |
| INTEREST & DIVIDENDS - ACTIVE | 121,845 | 88,263 | 0 | 0 | 210,108 |
| MISCELLANEOUS[1] | 0 | 31 | 0 | 0 | 31 |
| TOTAL INCOME | $19,964,692 | $24,861,151 | $0 | $0 | $44,825,843 |
| EXPENSES | | | | | |
| MEDICAL | $18,102,244 | $15,719,753 | $0 | $0 | $33,821,997 |
| A & S | 1,254,481 | 1,503,491 | 0 | 0 | 2,757,972 |
| DENTAL | 1,095,002 | 1,065,249 | 0 | 0 | 2,160,251 |
| DRUG | 6,392,369 | 4,711,847 | 0 | 0 | 11,104,216 |
| BEHAVIORAL HEALTH | 362,760 | 238,440 | 0 | 0 | 601,200 |
| OPTICAL | 156,738 | 159,396 | 0 | 0 | 316,134 |
| LIFE & AD & D | 38,929 | 38,743 | 0 | 0 | 77,672 |
| UM/DM | 206,325 | 206,262 | 0 | 0 | 412,587 |
| PRESCRIPTION CARE MNGMT | 32,603 | 32,118 | 0 | 0 | 64,721 |
| E.A.P. | 15,588 | 15,339 | 0 | 0 | 30,927 |
| P.P.O. | 302,074 | 284,697 | 0 | 0 | 586,771 |
| OPERATING EXPENSE | 1,037,577 | 993,850 | 0 | 0 | 2,031,427 |
| TOTAL EXPENSE | $28,996,690 | $24,969,185 | $0 | $0 | $53,965,875 |
| SURPLUS (DEFICIT) | **($9,031,998)** | **($108,034)** | **$0** | **$0** | **($9,140,032)** |
| TOTAL PARTICIPANTS | 15,858 | 15,895 | 0 | 0 | 15,877 |

| | | | | |
|---|---|---|---|---|
| FUND RESERVE | $21,431,191 | $20,351,430 | | |

[1]LITIGATION SETTLEMENTS 1ST QTR:

COMBINED FUND
SECOND QUARTER 2021

MISCELLANEOUS

| | |
|---|---|
| ACTUARIAL & CONSULTING | 14,094 |
| BONDING AND INSURANCE | 70,837 |
| CONFERENCE | 2,125 |
| EDUCATION FEES FOR RETIREE ASSISTANCE | 8,748 |
| INVESTMENT MANAGEMENT | 19,403 |
| INVESTMENT PERFORMANCE SERVICES | 20,000 |
| LEGAL | 95,433 |
| POSTAGE | 41,981 |
| PRINTING | 10,328 |
| TELEPHONE | 1,355 |
| TOTAL | $284,304 |

DELINQUENCIES

NONE

# COMBINED FUND
# FELRA & UFCW VEBA FUND
# INCLUDING LEGAL, SEVERANCE AND SCHOLARSHIP
# SECOND QUARTER 2021

## QUARTERLY RECAPS

| **INCOME** | **1Q2021** | **2Q2021** | **3Q2021** | **4Q2021** | **Total** |
|---|---|---|---|---|---|
| Health | $26,040,526 | $30,881,297 | | | $56,921,823 |
| Legal | 768,086 | 767,648 | | | 1,535,734 |
| Severance | 2,274,324 | 886,706 | | | 3,161,030 |
| Scholarship | 0 | 3 | | | 3 |
| **Total Income** | $29,082,936 | $32,535,654 | $0 | $0 | $61,618,590 |

| **EXPENSES** | **1Q2021** | **2Q2021** | **3Q2021** | **4Q2021** | **Total** |
|---|---|---|---|---|---|
| Health | $33,904,360 | $30,260,063 | | | $64,164,423 |
| Legal | 768,027 | 770,187 | | | 1,538,214 |
| Severance | 1,028,529 | 1,174,542 | | | 2,203,071 |
| Scholarship | 4,004 | 4,454 | | | 8,458 |
| **Total Expenses** | $35,704,920 | $32,209,246 | $0 | $0 | $67,914,166 |

| **Surplus/(Deficit)** | **1Q2021** | **2Q2021** | **3Q2021** | **4Q2021** | **Total** |
|---|---|---|---|---|---|
| Health | ($7,863,834) | $621,234 | $0 | $0 | ($7,242,600) |
| Legal | 59 | (2,539) | 0 | 0 | (2,480) |
| Severance | 1,245,795 | (287,836) | 0 | 0 | 957,959 |
| Scholarship | (4,004) | (4,451) | 0 | 0 | (8,455) |
| **Total Surplus/(Deficit)** | ($6,621,984) | $326,408 | $0 | $0 | ($6,295,576) |

| | | | | |
|---|---|---|---|---|
| **Fund Reserve** | $31,005,835 | $29,745,358 | | |

# COMBINED FUND
# FELRA & UFCW VEBA FUND
# INCLUDING LEGAL, SEVERANCE AND SCHOLARSHIP
# 2020

## QUARTERLY RECAPS

| INCOME | 1Q2020 | 2Q2020 | 3Q2020 | 4Q2020 | Total |
|---|---|---|---|---|---|
| Health | $32,917,809 | $31,882,496 | $31,440,692 | $25,024,389 | $121,265,386 |
| Legal | 803,742 | 771,450 | 771,416 | 771,141 | 3,117,749 |
| Severance | 18,427 | 1,474,569 | 2,475,793 | 7,738 | 3,976,527 |
| Scholarship | 421 | 257 | 166 | 125 | 969 |
| **Total Income** | $33,740,399 | $34,128,772 | $34,688,067 | $25,803,393 | $128,360,631 |

| EXPENSES | 1Q2020 | 2Q2020 | 3Q2020 | 4Q2020 | Total |
|---|---|---|---|---|---|
| Health | $33,286,441 | $28,936,196 | $31,921,985 | $32,849,392 | $126,994,014 |
| Legal | 812,977 | 799,841 | 780,340 | 777,167 | 3,170,325 |
| Severance | 1,250,054 | 920,257 | 994,698 | 1,186,685 | 4,351,694 |
| Scholarship | 11,496 | 18,838 | 14,147 | 6,377 | 50,858 |
| **Total Expenses** | $35,360,968 | $30,675,132 | $33,711,170 | $34,819,621 | $134,566,891 |

| Surplus/(Deficit) | 1Q2020 | 2Q2020 | 3Q2020 | 4Q2020 | Total |
|---|---|---|---|---|---|
| Health | ($368,632) | $2,946,300 | ($481,293) | ($7,825,003) | ($5,728,628) |
| Legal | (9,235) | (28,391) | (8,924) | (6,026) | (52,576) |
| Severance | (1,231,627) | 554,312 | 1,481,095 | (1,178,947) | (375,167) |
| Scholarship | (11,075) | (18,581) | (13,981) | (6,252) | (49,889) |
| **Total Surplus/(Deficit)** | ($1,620,569) | $3,453,640 | $976,897 | ($9,016,228) | ($6,206,260) |

| | | | | |
|---|---|---|---|---|
| **Fund Reserve** | $36,286,031 | $35,341,640 | $45,768,124 | $43,071,868 |

CONTRACT INFORMATION
SECOND QUARTER 2021

| COMPANY | PLAN | RATES | EFF. DATE | CONTRACT EXP. |
|---|---|---|---|---|
| ASSOCIATED ADMINISTRATORS | X | 1,035.73 | 06-01-21 | 10-29-24 |
| | X | 588.88 | 06-01-21 | |
| | X | 1,385.26 | 06-01-21 | |
| | XX | 387.85 | 06-01-21 | |
| | XX | 210.54 | 06-01-21 | |
| GIANT 27 | I | 1,579.09 | 06-01-21 | 10-28-23 |
| | I | 1,297.29 | 06-01-21 | |
| | X | 1,225.62 | 06-01-21 | |
| | X | 769.53 | 06-01-21 | |
| | X | 1,583.25 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| GIANT 400 | I | 1,579.09 | 06-01-21 | 10-28-23 |
| | I | 1,297.29 | 06-01-21 | |
| | X | 1,225.62 | 06-01-21 | |
| | X | 769.53 | 06-01-21 | |
| | X | 1,583.25 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| GIANT DELAWARE | X | 1,225.62 | 06-01-21 | 10-28-23 |
| | X | 769.53 | 06-01-21 | |
| | X | 1,583.25 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| GIANT VALLEY 400 | I | 1,579.09 | 06-01-21 | 10-28-23 |
| | I | 1,297.29 | 06-01-21 | |
| | X | 1,225.62 | 06-01-21 | |
| | X | 769.53 | 06-01-21 | |
| | X | 1,583.25 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |

CONTRACT INFORMATION
SECOND QUARTER 2021

| COMPANY | PLAN | RATES | EFF. DATE | CONTRACT EXP. |
|---|---|---|---|---|
| SAFEWAY 27 | I | 1,585.35 | 06-01-21 | 10-28-23 |
| | I | 1,303.55 | 06-01-21 | |
| | X | 1,231.88 | 06-01-21 | |
| | X | 775.79 | 06-01-21 | |
| | X | 1,589.51 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| SAFEWAY 400 | I | 1,585.35 | 06-01-21 | 10-28-23 |
| | I | 1,303.55 | 06-01-21 | |
| | X | 1,231.88 | 06-01-21 | |
| | X | 775.79 | 06-01-21 | |
| | X | 1,589.51 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| SAFEWAY CULPEPER | I | 1,585.35 | 06-01-21 | 10-28-23 |
| | I | 1,303.55 | 06-01-21 | |
| | X | 1,231.88 | 06-01-21 | |
| | X | 775.79 | 06-01-21 | |
| | X | 1,589.51 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| SAFEWAY DELAWARE | X | 1,231.88 | 06-01-21 | 10-28-23 |
| | X | 775.79 | 06-01-21 | |
| | X | 1,589.51 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| SAFEWAY DOVER | X | 1,231.88 | 06-01-21 | 10-28-23 |
| | X | 775.79 | 06-01-21 | |
| | X | 1,589.51 | 06-01-21 | |
| | XX | 395.76 | 06-01-21 | |
| | XX | 213.78 | 06-01-21 | |
| | XXX | 268.65 | 06-01-21 | |
| | XXX | 161.42 | 06-01-21 | |
| | XL | 25.72 | 06-01-21 | |
| STAFF 400 | X | 1,114.00 | 11-01-18 | |

***FELRA & UFCW***

***RETIREE HEALTH PLAN***

***SECOND QUARTER 2021***

***ADMINISTRATIVE MANAGER'S REPORT***

## FULL TIME RETIREES
## SECOND QUARTER 2021

INCOME

| | |
|---|---|
| EMPLOYER CONTRIBUTIONS[1] | $3,463,049 |
| RETIREE COPAYMENTS | $854,882 |
| INTEREST AND DIVIDENDS | $15,443 |
| | |
| TOTAL INCOME | **$4,333,374** |

EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $39,605 | $4.75 | 2,667 RET |
| | | | | |
| DENTAL[2] | $27.78 | $223,152 | $26.75 | 2,667 RET |
| | | | | |
| DRUG | $.80/1.25/SCRIPT | $1,000,534 | | 18,152 SCRIPTS |
| DRUG CLAIMS | | 0 | | |
| DRUG FEES | $0.21/CLAIM | 1,521 | | |
| TOTAL DRUG | | $1,002,055 | $120.11 | |
| | | | | |
| HMO KAISER | $243.17 | $1,319,821 | | 1,360 RET |
| HOSPITAL | | 363,921 | | |
| SURGICAL | | 222,722 | | |
| DIAGNOSTIC | | 81,857 | | |
| MAJOR MEDICAL | | 258,017 | | |
| MEDICAL ADMINISTRATION[3] | $15.02 | 60,291 | | 1,338 RET |
| TOTAL MEDICAL | | $2,306,629 | $276.47 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $10,368 | $1.24 | 1,334 RET |
| BEHAVIORAL HEALTH/EAP | | $16 | $0.00 | |
| P.P.O CAREFIRST FLEXLINK | $22.86 | $0 | $0.00 | 0 RET |
| P P O CAREFIRST NETWORK | $4.52 | $84 | $0.01 | 6 RET |
| HRGI/A&G CLAIM DISCOUNTER | | $1,246 | $0.15 | |
| ADMINISTRATION[3] | $7.00 | $58,401 | $7.00 | 2,781 RET |
| | | | | |
| TOTAL EXPENSES | | **$3,641,556** | **$436.48** | |
| | | | | |
| SURPLUS (DEFICIT) | | **$691,818** | | |

[1]INCLUDES INCOME FROM PLAN I AND PLAN X MOB CONTRIBUTION, LESS THE STIPEND RELATED INCOME
[2]RATE CHANGE EFFECTIVE JUNE 2021
[3]RATE CHANGE EFFECTIVE APRIL 2021

## PART TIME RETIREES
## SECOND QUARTER 2021

INCOME

| | |
|---|---|
| EMPLOYER CONTRIBUTIONS[1] | $520,793 |
| RETIREE COPAYMENTS | $183,518 |
| INTEREST AND DIVIDENDS | $3,315 |
| | |
| TOTAL INCOME | **$707,626** |

EXPENSES

| BENEFIT | RATE | EXPENSE | AVG. COST | COMMENT |
|---|---|---|---|---|
| OPTICAL | $4.95 | $8,840 | $4.94 | 595 RET |
| | | | | |
| DENTAL[2] | $27.78 | $49,805 | $27.81 | 595 RET |
| | | | | |
| DRUG | $.80/1.25/SCRIPT | $109,367 | | 2,550 SCRIPTS |
| DRUG CLAIMS | | 0 | | |
| DRUG FEES | $0.21/CLAIM | 184 | | |
| DRUG | | $109,551 | $61.17 | |
| | | | | |
| HMO KAISER[2] | $243.17 | $280,810 | | 367 RET |
| HOSPITAL | | 103,658 | | |
| SURGICAL | | 41,099 | | |
| DIAGNOSTIC | | 12,217 | | |
| MAJOR MEDICAL | | 37,779 | | |
| MEDICAL ADMINISTRATION[3] | $15.02 | 11,595 | | 257 RET |
| TOTAL MEDICAL | | $487,158 | $272.00 | |
| | | | | |
| BEHAVIORAL HEALTH | $2.59 | $1,997 | $1.12 | 257 RET |
| BEHAVIORAL HEALTH/EAP | | $0 | $0.00 | |
| P.P.O CAREFIRST FLEXLINK | $22.86 | $0 | $0.00 | 0 RET |
| P P O CAREFIRST NETWORK | $4.52 | $28 | $0.02 | 2 RET |
| HRGI/A&G CLAIM DISCOUNTER | | $267 | $0.15 | |
| ADMINISTRATION[3] | $7.00 | $12,530 | $7.00 | 597 RET |
| | | | | |
| TOTAL EXPENSES | | **$670,176** | **$374.19** | |
| | | | | |
| SURPLUS (DEFICIT) | | **$37,450** | | |

[1]INCLUDES INCOME FROM PLAN I AND PLAN X MOB CONTRIBUTION, LESS THE STIPEND RELATED INCOME
[2]RATE CHANGE EFFECTIVE JUNE 2021
[3]RATE CHANGE EFFECTIVE APRIL 2021

## SECOND QUARTER 2021
## STIPEND
## INCOME & EXPENSES

### INCOME[1]

| | |
|---|---|
| GIANT | $806,100 |
| SAFEWAY | 173,046 |
| TOTAL INCOME | **$979,146** |

### BENEFIT EXPENSES

| CATEGORY | AMOUNT |
|---|---|
| STIPEND BENEFITS | $970,850 |
| SUBTOTAL | $970,850 |

### OPERATING EXPENSES

| CATEGORY | AMOUNT |
|---|---|
| ADMINISTRATION | $7,504 |
| PNC FEES | 738 |
| POSTAGE | 54 |
| SUBTOTAL | $8,296 |
| TOTAL EXPENSES | **$979,146** |

| | |
|---|---|
| SURPLUS (DEFICIT) | **$0** |

PARTICIPANTS 887

[1]STIPEND INCOME ALLOCATED BASED ON RATES AS PROVIDED BY THE FUND CONSULTANT

## 2021
## RETIREES
## QUARTERLY RECAPS

| | FIRST 2021 | SECOND 2021 | THIRD 2021 | FOURTH 2021 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $3,982,176 | $3,983,842 | | | $7,966,018 |
| RETIREE COPAYMENTS | 1,045,546 | 1,038,400 | | | 2,083,946 |
| STIPEND INCOME | 1,021,927 | 979,146 | | | 2,001,073 |
| INTEREST & DIVIDENDS | 26,185 | 18,758 | | | 44,943 |
| TOTAL INCOME | $6,075,834 | $6,020,146 | $0 | $0 | $12,095,980 |

| EXPENSES | | | | | |
|---|---|---|---|---|---|
| MEDICAL | $2,722,925 | $2,793,787 | | | $5,516,712 |
| DENTAL | 276,158 | 272,957 | | | 549,115 |
| DRUG | 751,591 | 1,111,606 | | | 1,863,197 |
| BEHAVIORAL HEALTH | 11,324 | 12,381 | | | 23,705 |
| OPTICAL | 48,926 | 48,445 | | | 97,371 |
| P.P.O. | 5,296 | 1,625 | | | 6,921 |
| ADMINISTRATION | 69,523 | 70,931 | | | 140,454 |
| STIPEND | 1,021,927 | 979,146 | | | 2,001,073 |
| TOTAL EXPENSES | $4,907,670 | $5,290,878 | $0 | $0 | $10,198,548 |
| SURPLUS (DEFICIT) | $1,168,164 | $729,268 | $0 | $0 | $1,897,432 |
| TOTAL PARTICIPANTS | 3,408 | 3,378 | | | 3,393 |
| FUND RESERVE | $5,048,838 | $5,180,875 | | | |

## 2020
## RETIREES
## QUARTERLY RECAPS

| | FIRST 2020 | SECOND 2020 | THIRD 2020 | FOURTH 2020 | TOTAL |
|---|---|---|---|---|---|
| EMPLOYER CONTRIBUTIONS | $4,225,791 | $4,061,678 | $4,059,554 | $4,065,620 | $16,412,643 |
| RETIREE COPAYMENTS | 1,085,279 | 1,060,581 | 1,059,148 | 1,050,165 | 4,255,173 |
| STIPEND INCOME | 1,200,856 | 1,163,185 | 1,119,766 | 1,084,602 | 4,568,409 |
| INTEREST & DIVIDENDS | 28,371 | 43,859 | 29,759 | 29,015 | 131,004 |
| TOTAL INCOME | $6,540,297 | $6,329,303 | $6,268,227 | $6,229,402 | $25,367,229 |

| EXPENSES | | | | | |
|---|---|---|---|---|---|
| MEDICAL | $3,087,811 | $2,618,131 | $2,567,108 | $2,593,875 | $10,866,925 |
| DENTAL | 295,142 | 293,126 | 233,061 | 289,123 | 1,110,452 |
| DRUG | 1,217,946 | 551,628 | 1,531,050 | 838,050 | 4,138,674 |
| BEHAVIORAL HEALTH | 14,438 | 12,825 | 12,904 | 12,848 | 53,015 |
| OPTICAL | 50,726 | 50,381 | 50,167 | 49,689 | 200,963 |
| P.P.O. | 2,955 | 2,086 | 679 | 316 | 6,036 |
| ADMINISTRATION | 69,808 | 71,367 | 71,122 | 70,591 | 282,888 |
| STIPEND | 1,200,856 | 1,163,185 | 1,119,766 | 1,084,602 | 4,568,409 |
| TOTAL EXPENSES | $5,939,682 | $4,762,729 | $5,585,857 | $4,939,094 | $21,227,362 |
| SURPLUS (DEFICIT) | $600,615 | $1,566,574 | $682,370 | $1,290,308 | $4,139,867 |
| TOTAL PARTICIPANTS | 3,526 | 3,498 | 3,487 | 3,460 | 3,493 |
| FUND RESERVE | $5,765,638 | $5,521,879 | $5,339,723 | $5,306,841 | |

# INVOICES

**FELRA & UFCW**
**VEBA FUND**
**INVOICES**

**SEPTEMBER 27, 2021**

1. **Calibre**

| | | | |
|---|---|---|---|
| 07/19/2021 | Progress billing for professional services rendered in connection with the audit of the financial statements for the year ended 12/31/2020. | $ | 38,200.00 |
| 08/18/2021 | Progress billing for professional services rendered in connection with the audit of the financial statements for the year ended 12/31/2020. | $ | 10,300.00 |

2. **Chartwell Investment Partners**

| | | | |
|---|---|---|---|
| 06/30/2021 | Investment Consultant Services rendered – 2Q2021 | $ | 3,077.46 |

3. **Cheiron**

| | | | |
|---|---|---|---|
| 06/21/2021 | Retainer Services - 05/2021 | | 3,725.00 |
| 07/22/2021 | Retainer Services - 06/2021 | | 3,725.00 |
| 08/24/2021 | Retainer Services - 07/2021 | | 3,725.00 |

5. **Groom Law Group, Chartered**

| | | | |
|---|---|---|---|
| 06/03/2021 | Professional Services rendered - 04/2021 | $ | 11,511.00 |
| 06/28/2021 | Professional Services rendered - 05/2021 | | 5,948.10 |

6. **Investment Performance Services**

| | | | |
|---|---|---|---|
| 09/02/2021 | For Investment Consultant Services - 2Q2021 | $ | 10,000.00 |

7. **Segal Company**

| | | | |
|---|---|---|---|
| 06/30/2021 | For supplemental compliance consulting services through 05/31/2021 related to the work-in-progress on the No Surprises Act and Transparency Act compliance regulations. | $ | 1,162.50 |
| 07/31/2021 | For supplemental compliance consulting services through 06/30/2021 related to the work-in-progress on the No Surprises Act and Transparency Act compliance regulations. | | 2,325.00 |
| 08/31/2021 | For supplemental compliance consulting services through 07/31/2021 related to the work-in-progress on the No Surprises Act and Transparency Act compliance regulations. | | 930.00 |
| 09/01/2021 | For Actuarial and Consulting services rendered 09/01/2021 through 11/30/2021. | | 16,750.00 |

8. **Segall Bryant & Hamill**

| | | | |
|---|---|---|---|
| 08/09/2021 | Investment Management Services rendered - 2Q2021 | $ | 2,927.88 |
| 08/09/2021 | Investment Management Services (Illiquid) rendered - 2Q2021 | | 24.32 |

9. **Slevin & Hart P.C.**

| | | | |
|---|---|---|---|
| 06/23/2021 | Professional Services rendered - 05/2021 | $ | 17,602.20 |
| 07/27/2021 | Professional Services rendered - 06/2021 | | 18,832.50 |
| 08/31/2021 | Professional Services rendered - 07/2021 | | 16,076.88 |

10. **Woodard Insurance Agency, LLC**

| | | | |
|---|---|---|---|
| 07/07/2021 | Professional Services rendered – 2Q2021 | $ | 8,694.00 |

## Work Snapshot 2021 FELRA

| *Function* | *1st Quarter* | *2nd Quarter* | *3rd Quarter* | *4th Quarter* | *YTD* |
|---|---|---|---|---|---|
| *Accident & Sickness Claims* | 374 | 323 | | | **697** |
| *Appeals* | 132 | 100 | | | **232** |
| *Participant Services Calls* | 32,616 | 28,678 | | | **61,294** |
| *COBRA Notices Mailed* | 412 | 2187 | | | **2,599** |
| *Pension Apps Received* | 267 | 166 | | | **433** |
| *Pension Estimates Received* | 99 | 97 | | | **196** |
| *Medical Claims Processed* | 85,421 | 91,045 | | | **176,466** |
| *Communications Mailings Sent to Participants* | 68,097 | 100,875 | | | **168,972** |

***SPECIAL PROJECTS IN 2Q:***

1. PBGC project - Professional's Meetings and AA internal activities
2. Annual Pension RIF mailing
3. Pension Direct Deposit Campaign
4. COBRA Subsidy mailings
5. COVID Experience and Tracking project
6. DV550 Pension project
5. Dentegra Implementaton